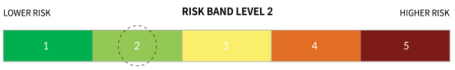
Eminence SIF
Offered By AlphaGrep Mutual Fund

KEY INFORMATION MEMORANDUM (KIM)

EMINENCE HYBRID LONG - SHORT FUND

(An interval investment strategy investing in equity and debt securities, including limited short exposure in equity and debt through derivatives)

Scrip Code: *(Scrip code will be added after listing of units)*

This product is suitable for investors who are seeking#	**Risk-band of the Investment Strategy*:**	**Benchmark Risk-band: Nifty 50 Hybrid Composite Debt 50:50 Index (TRI)**
• Long-term capital appreciation with income generation • An Interval investment strategy investing predominantly in equity and debt securities, including limited short exposure in equity and debt through derivatives	Risk Band Level 2 	Risk Band Level 2 
#Investors should consult their financial advisers if in doubt about whether the product is suitable for them.		
***The Risk Band shall be as specified by AMFI.**		

The above product labelling assigned during the New Fund Offer (NFO) is based on an internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.

Offer for Units of INR 10/- each during the New Fund Offer and Continuous Offer for Units at NAV based prices

New Fund Offer Opens on	:	**October 14, 2026**
New Fund Offer Closes on	:	**October 28, 2026**
Investment strategy re-opens on or before	:	**November 12, 2026**

	Specialised Investment Fund (SIF)	Mutual Fund	Asset Management Company	Trustee Company
Name	**Eminence SIF offered by AlphaGrep Mutual Fund**	**AlphaGrep Mutual Fund**	**AlphaGrep Investment Management Private Limited**	**AlphaGrep Mutual Fund Trustee Private Limited**
Address	Unit No. 1408, 14th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India.	Unit No. 1408, 14th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India.	Unit no. 12 A-05, 13th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India. **CIN:** U67100MH2021PTC358070	Unit No. 1408, 14th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India. **CIN:** U66190MH2025PTC455751
Website	www.eminencesif.ai	www.alphagrepmf.ai	www.alphagrepim.com	-

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the investment strategy/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Investment Strategy Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website** www.eminencesif.ai

The Investment Strategy particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated September 9, 2026.

Stock Exchange Disclaimer Clause:

Disclaimer by NSE

"As required, a copy of this Scheme Information Document has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter NSE/LIST/6115 dated July 17, 2026 permission to the Mutual Fund to use the Exchange's name in this Scheme Information Document as one of the stock exchanges on which the Mutual Fund's units are proposed to be listed subject to, the Mutual Fund fulfilling various criteria for listing. The Exchange has scrutinized this Scheme Information Document for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this Scheme Information Document; nor does it warrant that the Mutual Fund's units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its sponsors, its management or any scheme of the Mutual Fund.

Every person who desires to apply for or otherwise acquire any units of the Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription /acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever."

Investment Objective	The primary objective of the investment strategy is to generate capital appreciation through equity and equity related instruments and income through arbitrage, derivatives strategies, special situations and fixed income investments. There is no assurance that the investment objective of the Investment strategy will be achieved.

Asset Allocation Pattern of the Investment Strategy

Under normal circumstances, the asset allocation pattern will be:

Instruments	Indicative allocations (% of total assets)	
	Minimum	**Maximum**
Equity and Equity-related instruments (including unhedged short exposure mentioned below)*	25	75
Debt and Money Market Instruments# (including unhedged short exposure mentioned below)*	25	75
Units of InvITs	0	20

*Short exposure through unhedged derivative positions in equity and debt instruments will be upto 25% of net assets.

#Money Market instruments includes commercial papers, commercial bills, treasury bills, Tri-party repo, Government securities having an unexpired maturity up to one year, call or notice money, certificate of deposit, usance bills, and any other like instruments as specified by the Reserve Bank of India from time to time.

The Cumulative Gross Exposure through Equity and Equity-related instruments, Debt, Money Market Instruments, INVITs, Derivatives and such other securities/assets as may be permitted by SEBI should not exceed 100% of the net assets of the Investment Strategy.

Indicative Table (Actual instrument / percentages may vary subject to applicable SEBI circulars)

Sl. No.	Type of Instrument	Percentage of exposure	Circular references
1.	Securities Lending	a. Upto 20% of the net assets b. Upto 5% of the net assets at single intermediary i.e. broker level The investment strategy may engage in short selling of securities in accordance with the framework relating to short selling specified by SEBI	Clause 13.6 of SEBI Master Circular for Mutual Funds dated March 20, 2026
2.	Equity / Debt Derivatives for hedging purposes	Equity Derivatives - Upto 100% of equity portfolio assets Debt Derivatives - Upto 100% of debt portfolio assets	Clause 8.5, 8.6 and 13.15 of SEBI Master Circular for Mutual Funds dated March 20, 2026
3.	Naked Derivatives (shorts) for non hedging purposes	Maximum short exposure through unhedged derivative positions in equity and debt instruments will be upto 25% of net assets.	Clause 21.6 of SEBI Master Circular for Mutual Funds dated March 20, 2026

	4.	Securitized Debt	Upto 20% of the debt portfolio	Clause 13.1 of SEBI Master Circular for Mutual Funds dated March 20, 2026
	5.	Overseas Securities	Upto 30% of net assets. The scheme will not invest in overseas derivatives.	Para 13.11 of the Master Circular for Mutual Funds dated March 20, 2026
	6.	Units of InVITS	Upto 20% of its NAV in the units of InVIT Upto 10% of its NAV in the units of InVIT at single issuer level.	Item no. 4 of clause 21.5 of the SEBI Master Circular for Mutual Funds dated March 20, 2026
	7.	Debt Instruments with Special Features such as AT1 and AT2 Bonds	Upto 10% of its NAV of the debt portfolio of the Investment Strategy Upto 5% of the NAV of the debt portfolio in such instruments issued by a single issuer	Item no. 9 of Clause 13.1 of SEBI Master Circular for Mutual Funds dated March 20, 2026
	8.	Debt instruments having Structured Obligations / Credit Enhancements	Up to 10% of the debt portfolio of the Investment strategy and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the Investment strategy	Item no. 10 of clause13.1 of SEBI Master Circular for Mutual Funds dated March 20, 2026
	9.	Repo / Reverse Repo transactions in Corporate Debt Securities	Upto 10% of the net assets of the investment strategy.	Clause 13.8 of SEBI Master Circular for Mutual Funds dated March 20, 2026
	10	Credit Default Swaps	Exposure to a single counterparty in CDS transactions shall not exceed 10% of the net assets of the investment strategy. The total exposure related to premium paid for all derivative positions, including CDS, shall not exceed 20% of the net assets of the Investment strategy.	Clause 13.17.3.(g) of SEBI Master Circular for Mutual Funds dated March 20, 2026
	11	Units of mutual fund schemes	The Investment Strategy may invest in mutual fund schemes under the same asset management company or any other mutual fund without charging any fees, provided that	Clause 3 of the Sixth Schedule, SEBI (Mutual Funds) Regulations, 2026 read with Clause

			aggregate inter-investments made by all investment strategies shall not exceed 5% of the net asset value of the mutual fund. The Investment Strategy shall not invest in any fund of funds scheme.	13.14 of SEBI Master Circular for Mutual Funds dated March 20, 2026

Pursuant to para 13.18.6(a) of the Master Circular, cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash Equivalent shall consist of the following securities having residual maturity of less than 91 days: a) Government Securities; b) T-Bills; and c) Repo on Government securities and ii) subject to guidelines specified by SEBI, derivatives exposure due to hedging positions may not be included in the aforesaid limit.
** SEBI vide letter dated November 3, 2021, has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities.*

Deployment of funds collected by AMCs in New Fund Offer (NFO):

In accordance with paragraph 7.24 of the Master Circular, the AMC shall deploy the funds garnered in an NFO within 30 Business Days from the date of allotment of units.

In an exceptional case, if the AMC is not able to deploy the funds in 30 Business Days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee of the AMC. Basis root cause analysis, the Investment Committee may extend the timeline by 30 Business Days, while also making recommendations on how to ensure deployment within 30 business days going forward and monitoring the same.

The Trustee shall also monitor the deployment of funds collected in NFO and take steps, as may be required, to ensure that the funds are deployed within a reasonable timeframe.

In case the funds are not deployed as per the asset allocation mentioned in the SID as per the aforesaid mandated plus extended timelines, AMC shall:
i not be permitted to receive fresh flows in the same scheme till the time the funds are deployed as per the asset allocation mentioned in the SID.
ii not be permitted to levy exit load, if any, on the investors exiting such scheme(s) after 60 Business Days of not complying with the asset allocation of the scheme.
iii inform all investors of the NFO, about the option of an exit from the concerned scheme without exit load, via email, SMS or other similar mode of communication.
iv report deviation, if any, to Trustees at each of the above stages.

<u>Rebalancing due to Short-Term Defensive Considerations</u>:

As per Para 1.9.1(b) of the Master Circular, as may be amended from time to time, the Fund Manager(s) of the Mutual Fund believes that market or economic conditions are not favourable to unitholders of the Mutual Fund may change the investment pattern of the Scheme for a short term and for defensive considerations in line with para 1.9.1(b)of the Master Circular. In such conditions, investments may be made in assets such as cash, cash equivalents or other short term instruments such as money market instruments, purely as a temporary defensive strategy. The

	Fund Manager will rebalance the portfolio within 30 calendar days from the date of deviation. In case the portfolio is not re-balanced within 30 days, justification for the same shall be recorded in writing and will be placed before the Investment Committee of the AMC. The Investment Committee will then decide on further course of action, subject to requirements specified by SEBI in this regard. **Portfolio Rebalancing in case of passive breach:** As per Para 3.11 of the Master Circular, as may be amended from time to time, in the event of deviation from mandated asset allocation as specified in the Indicative Table above, due to passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the Fund Manager shall rebalance the portfolio of the Scheme within 30 Business Days. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to sixty (60) Business Days from the date of completion of mandated rebalancing period. Further, in case the portfolio is not rebalanced within the aforementioned mandated plus extended timelines, the AMC shall: i not be permitted to launch any new scheme till the time the portfolio is rebalanced. ii not levy exit load, if any, on the exiting investors. Further, in case the AUM of deviated portfolio is more than 10% of the AUM of main portfolio of the scheme • The AMC shall immediately communicate the same to the investors of the Scheme after the expiry of the mandated rebalancing period (i.e. 30 business days) through SMS and email/ letter including details of portfolio not rebalanced. • The AMC shall also immediately communicate to the investors through SMS and email/letter when the portfolio is rebalanced. • The AMC shall disclose scheme wise deviation of the portfolio (beyond aforesaid 10% limit) from the mandated asset allocation beyond 30 Business Days, on the website i.e. www.eminencesif.ai • The AMC shall also disclose any deviation from the mandated asset allocation to investors along with periodic portfolio disclosures as specified by SEBI from the date of lapse of mandated plus extended rebalancing timelines. The above norms shall be applicable to main portfolio and not to segregated portfolio(s). However, at all times the portfolio will adhere to the overall investment objectives of the Scheme. Pending deployment of the funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of Scheduled Commercial Banks, subject to the guidelines mentioned by SEBI from time to time.
Investment Strategy / Approach	**1. Investment philosophy** The Investment Strategy seeks to generate capital appreciation with income by deploying a **multi-strategy, multi-style approach** across equity, equity-related instruments, debt and money market instruments, InvITs, REITs and permitted derivative structures, with the allocation between strategy styles kept dynamic —

	within pre-defined internal limits — to account for changing market regimes and variability. The approach rests on AMC's institutional experience in **systematic, data-driven investing**. Most of the underlying strategies are rules-based and derived from quantitative research, with signals selected on the basis of economic rationale, statistical robustness and demonstrated persistence across market cycles. The rationale for a multi-strategy construction is that individual strategy styles perform well in specific market regimes and typically experience extended periods of underperformance in others. By combining a mix of largely uncorrelated strategy styles, the Investment Strategy seeks to reduce dependence on any single style, factor or directional view, and to moderate the variability of returns relative to a purely directional equity portfolio. *There is no assurance or guarantee that the investment objective of the Investment Strategy will be achieved, or that any particular level of return, volatility or drawdown will be realised.* **2. Strategy styles** The Investment Strategy may deploy the following styles. The list is indicative and not exhaustive. All positions are maintained within the asset allocation pattern, the cumulative gross exposure cap of 100% of net assets, and the unhedged short exposure limit of 25% of net assets. **Market-neutral and arbitrage strategies**: The Investment Strategy may take advantage of price differentials between the cash and derivatives segments. A typical cash-futures arbitrage trade involves simultaneously buying a security in the spot market and selling the corresponding futures contract when futures trade at a premium, thereby capturing a locked-in spread. Such positions are generally held to expiry, may be rolled into subsequent contracts where the spread remains favourable, or may be unwound earlier to realise profits or meet redemption requirements. The Investment Strategy may also pursue inter-month basis trades and reverse arbitrage where permitted. **Corporate action and event-driven strategies**: The Investment Strategy may seek to capitalise on corporate actions and special situations, including initial and follow-on public offerings, rights issues, buybacks, open offers, demergers, mergers, qualified institutional placements, delistings, dividend arbitrage and index rebalancing events. Merger arbitrage opportunities may be pursued where an acquisition is announced on a share-swap basis, permitting a position that buys one security in the cash market against a sale of the other in the futures market. These positions are typically short-duration and liquidity-driven, and are assessed on a case-by-case basis for risk-reward, deal completion probability and exit liquidity. **Options-based income strategies:** The Investment Strategy may write call options against existing long stock or long futures positions (covered calls) to earn premium income, accepting a cap on upside on the covered position. It may deploy collar structures that combine a long underlying position with a written call and a purchased put, generating premium income while retaining downside protection against tail events; constituents for such structures are selected on the basis of option liquidity, quantitative research scores and other relevant metrics. Protective puts may be used selectively to limit downside on long equity positions. The Investment Strategy may also use synthetic and volatility-oriented structures such as straddles, strangles and put-call parity trades. Total premium paid on options purchased shall not exceed prescribed limits. **Directional long equity strategies:** The Investment Strategy may maintain long equity exposure constructed through a systematic, multi-factor process combining quantitative research scores with the Fund Manager's assessment of fundamentals,

	valuation, earnings trajectory and sector outlook. Investments may be made in equity shares and equity-related instruments across market capitalisations and sectors. The Investment Strategy is sector-agnostic and seeks diversification across sectors and issuers, subject to the investment restrictions. **Short and hedging strategies:** Based on the Fund Manager's market outlook and risk-return assessment, the Investment Strategy may take short positions in equity or equity derivatives. Unhedged (naked) short positions, being those without corresponding long exposure, may be taken up to a maximum of 25% of the net assets of the Investment Strategy. These positions may be used to express a view on an expected decline in specific securities or market segments, to express relative-value views through paired long and short positions in correlated securities or indices, or to hedge broader portfolio risk and adjust portfolio beta. Long and short exposures may carry offsetting derivative positions, including a short call or long put against long equity exposure and a short put or long call against short equity exposure, and may also be established directly through options positions without a corresponding futures or cash position. **Fixed income strategies:** The debt and money market allocation is intended to provide accrual income, portfolio stability and liquidity, and may also generate price appreciation. The allocation is actively managed with reference to the interest rate outlook, the credit environment, spread levels and liquidity conditions. Instruments may include government securities, state development loans, treasury bills, corporate bonds and debentures, commercial papers, certificates of deposit, tri-party repo and units of debt-oriented mutual fund schemes, in each case within the prescribed limits. The Investment Strategy may use interest rate swaps, interest rate futures, forward rate agreements and other permitted debt derivatives for hedging, portfolio rebalancing and other permitted purposes. Hedging through interest rate futures may be perfect or imperfect, subject to applicable regulations. **InvITs:** Investment in units of InvITs and REITs is assessed on the basis of forward yield, the interest rate environment, secondary market liquidity, the quality and risk characteristics of the underlying assets, sponsor quality and governance, subject to the prescribed limits. **Overseas securities:** Overseas investments, where undertaken, will be made in accordance with prevailing SEBI and RBI guidelines and within the prescribed limit, providing access to global equity and debt through direct securities or exchange-traded funds. The Investment Strategy will not invest in overseas derivatives. **3. Dynamic allocation across strategies** Capital is allocated across the above styles dynamically rather than on a fixed basis. Allocation decisions are informed by the prevailing volatility regime, the level and stability of arbitrage and option premia, market breadth, liquidity conditions, the interest rate cycle and the observed correlation between strategy styles. Each style operates within pre-defined internal exposure and risk limits set by the Investment Committee, and the aggregate allocation at all times remains within the asset allocation pattern and the regulatory limits. **4. Investment process** The investment process is organised in the following stages: • **Research and idea generation** — Signals and strategy styles are developed from market, fundamental, transactional and other permitted data sources using the AMC's in-house research and data architecture. Candidate signals are required to have a stated economic rationale before being tested. • **Validation** — Candidate strategies are evaluated against a defined set of

criteria covering statistical robustness, stability across sub-periods and regimes, sensitivity to parameter choice, capacity, expected turnover and transaction cost, and behaviour under stress conditions.

- **Portfolio construction** — Validated signals are combined into a composite view and translated into positions through an optimisation process that incorporates risk constraints, sector and issuer limits, expected transaction cost, market liquidity, position-level constraints and the regulatory limits applicable to the Investment Strategy.
- **Execution** — Orders are executed using the AMC's execution capabilities with the objective of reducing market impact and implementation cost. Brokerage and transaction costs are monitored against the limits.
- **Monitoring and review** — Positions, exposures, risk limits and strategy performance are monitored on an ongoing basis. Strategy allocations are reviewed periodically, and strategies whose live behaviour diverges materially from research expectations may be reduced or withdrawn.

5. Role of discretion

While the majority of the process is systematic, the Fund Manager retains discretion over strategy allocation, gross and net exposure, hedging decisions, treatment of corporate actions and events not adequately captured by systematic signals, and risk reduction during periods of market stress or dislocation. Discretionary overrides are exercised within the internal risk framework and are recorded and reviewed.

6. Risk control

The Investment Strategy invests in a diversified basket of equity and equity-related instruments, debt and money market instruments, InvITs and permitted derivative positions. Investments are made in accordance with the investment objective and within the regulatory and internal investment restrictions prescribed from time to time. Diversification across sectors and issuers at the time of investment forms part of the risk framework.

Risk is assessed at the financial, operational and technology level. Financial risk controls include limits on gross and net exposure, unhedged short exposure, option premium outlay, single-issuer and sector concentration, portfolio duration and credit quality, together with monitoring of portfolio beta, hedge ratios and liquidity profile. Operational and technology risk is addressed through standardised processes, controls around research and execution systems, and independent monitoring. Derivative positions taken for hedging are monitored against the underlying exposure, and any excess is treated as unhedged for exposure computation.

The objective of the risk framework is not to eliminate risk but to maintain a structured mechanism to identify, measure, monitor and manage portfolio risk, so as to pursue available opportunities while limiting the adverse effects of risk. While these measures are expected to mitigate risk to a significant extent, the AMC provides no assurance that such risks will be eliminated.

7. Portfolio turnover

Given the interval structure of the Investment Strategy, the role of arbitrage, event-driven and options-based styles, and the periodic rolling of derivative positions, the portfolio turnover ratio may be higher than that of a conventional long-only strategy. Higher turnover results in higher brokerage and transaction costs. The Fund Manager will endeavour to optimise turnover having regard to the associated costs. The Investment Strategy has no specific target relating to portfolio turnover.

8. Indicative list of derivative strategies

The Investment Strategy may deploy the following derivative strategies, in compliance with SEBI regulations and internal risk management policies. All positions will be maintained within the overall gross exposure cap of 100% of net assets and the unhedged short exposure limit of 25% of net assets.

Position type	Strategy	Objective	Potential risk	Risk mitigant
Long cash + short futures	Cash-futures arbitrage	Capture spot-futures spread	Basis risk, rollover cost	Market-neutral with matched exposure; deployed only when spreads are favourable
Long cash/futures + short call	Covered call writing	Premium income in range-bound or moderately bullish markets	Upside on the covered position is capped	Calls written only against existing holdings to ensure full cover
Long cash/futures + long put	Protective put	Limit downside in volatile markets	Premium cost, time decay	Used selectively; aggregate premium paid within 20% of net assets
Long cash/futures + short call + long put	Collar	Premium income with downside protection against tail events	Capped upside; net premium cost	Constituents selected on option liquidity and research scores; both legs monitored
Long one security + short another	Merger / share-swap arbitrage	Capture spread on announced share-swap transactions	Deal break, timeline extension, ratio revision	Position sized on deal completion assessment and exit liquidity

Long and short	Pair trade using futures or options	Capture relative value between correlated securities or indices	Correlation breakdown, asymmetric movement between legs	Net exposure monitored within defined limits
Long or short	Index / stock futures and options for hedging or beta adjustment	Short-term risk reduction or rebalancing	Temporary over-hedging during market shifts	Exposure aligned to portfolio beta; hedge ratios monitored periodically
Long or short	Straddle / strangle	Express a view on volatility with limited directional bias	Losses in flat markets on long side; undefined loss potential on short side	Long positions capped at premium paid; short positions only within defined risk structures with margin and exposure controls
Long or short	Index / stock futures and options	Express directional bias over a short to medium term horizon	Rollover cost; loss payoff depending on long or short position	Long option positions capped at premium paid; futures and short option positions within defined risk structures and exposure controls
Long or short	Interest rate swaps, interest rate futures, forward	Manage portfolio duration and interest rate risk	Basis risk, imperfect hedge, counterparty risk	Counterparty limits; exchange-traded and CCIL-cleared

	rate agreements			instruments preferred

Additional and more complex derivative strategies may be introduced based on evolving market conditions, fund objectives and subject to SEBI guidelines and risk controls. For detailed derivative strategies, please refer to the SAI.

Illustrations of derivative strategies

The illustrations below are provided to explain how certain derivative strategies operate. They use assumed figures and are not indicative of returns. They exclude brokerage, securities transaction tax, exchange and regulatory charges, margin funding costs and taxes, each of which would affect the outcome. The Investment Strategy may or may not deploy any particular strategy at any given time.

Illustration 1 — Cash-futures arbitrage

The Investment Strategy buys 1,000 shares of Company A in the cash market at ₹500 per share, a total outlay of ₹5,00,000, and simultaneously sells 1,000 shares of Company A in the near-month futures contract at ₹506. The spread captured is ₹6 per share, or ₹6,000.

On expiry, the futures price converges to the cash price.

- *Scenario 1 — cash price on expiry is ₹520:* gain on the cash position is ₹20,000; loss on the futures position is ₹14,000. Net gain ₹6,000.
- *Scenario 2 — cash price on expiry is ₹480:* loss on the cash position is ₹20,000; gain on the futures position is ₹26,000. Net gain ₹6,000.

The outcome is the same in both cases because the two legs offset. The return is the spread at initiation, not the direction of the share price. The principal risks are that both legs cannot be executed simultaneously at the intended prices, that the position must be unwound before expiry at an unfavourable basis, and that margin is required on the futures leg throughout.

Illustration 2 — Covered call

The Investment Strategy holds 1,000 shares of Company B acquired at ₹1,000 per share, a total of ₹10,00,000, and writes call options on 1,000 shares with a strike price of ₹1,050, receiving a premium of ₹15 per share, or ₹15,000.

- *Scenario 1 — price on expiry is ₹1,030:* gain on the shares is ₹30,000. The option expires worthless and the premium of ₹15,000 is retained. Net gain ₹45,000.
- *Scenario 2 — price on expiry is ₹1,100:* gain on the shares is ₹1,00,000. The option is in the money by ₹50 per share, requiring a payment of ₹50,000, against which the premium of ₹15,000 was received, a net cost of ₹35,000. Net gain ₹65,000, compared with ₹1,00,000 had no call been written.
- *Scenario 3 — price on expiry is ₹950:* loss on the shares is ₹50,000. The option expires worthless and the premium of ₹15,000 is retained. Net loss ₹35,000, compared with ₹50,000 had no call been written.

The strategy generates premium income and reduces the loss on a falling share price to the extent of the premium, but caps participation in appreciation beyond the strike price.

Illustration 3 — Collar

The Investment Strategy holds 1,000 shares of Company C acquired at ₹800 per share, a total of ₹8,00,000. It writes call options with a strike price of ₹860,

receiving a premium of ₹12 per share (₹12,000), and buys put options with a strike price of ₹760, paying a premium of ₹9 per share (₹9,000). The net premium received is ₹3,000.

- *Scenario 1 — price on expiry is ₹900:* gain on the shares is ₹1,00,000. The call is in the money by ₹40 per share, requiring a payment of ₹40,000. The put expires worthless. Net gain ₹63,000.
- *Scenario 2 — price on expiry is ₹820:* gain on the shares is ₹20,000. Both options expire worthless. Net gain ₹23,000.
- *Scenario 3 — price on expiry is ₹700:* loss on the shares is ₹1,00,000. The put is in the money by ₹60 per share, yielding ₹60,000. The call expires worthless. Net loss ₹37,000, compared with ₹1,00,000 on an unprotected holding.

The structure limits the loss on a sharp decline and generates a small net premium, at the cost of capping participation above the call strike.

Illustration 4 — Merger arbitrage on a share-swap transaction

Company P announces the acquisition of Company Q on a share-swap basis, under which shareholders of Company Q will receive 2 shares of Company P for every 5 shares of Company Q held. Following the announcement, Company P trades at ₹500 and Company Q at ₹190. The implied value of one share of Company Q is ₹200, being two-fifths of ₹500, so the shares of Company Q trade at a discount of ₹10 to the swap value.

The Investment Strategy buys 5,000 shares of Company Q in the cash market at ₹190, a total outlay of ₹9,50,000, and sells 2,000 shares of Company P in the futures market at ₹500.

- *Scenario 1 — the transaction completes on the announced terms:* the holding in Company Q is exchanged for 2,000 shares of Company P, which are delivered against the futures position at ₹500, realising ₹10,00,000 against a cost of ₹9,50,000. Gross gain ₹50,000.
- *Scenario 2 — the transaction does not complete:* the shares of Company Q may revert towards their pre-announcement level. If they fall to ₹165, the loss on the cash leg is ₹1,25,000. If the shares of Company P rise to ₹520 on the transaction lapsing, the loss on the futures leg is a further ₹40,000. The illustration shows that the return on a completed transaction is limited to the spread at initiation, while a failed transaction can produce a loss substantially larger than that spread. Positions are therefore sized with reference to an assessment of the probability of completion and the liquidity available to exit both legs.

Illustration 5 — Index futures for hedging and beta adjustment

The Investment Strategy holds an equity portfolio valued at ₹100 crore with an estimated beta of 1.1 to the benchmark index. To reduce effective equity exposure to 60% of the portfolio, index futures with a notional value of ₹44 crore are sold, being 1.1 multiplied by the 40% of exposure to be hedged.

- *Scenario 1 — the index falls 5%:* the portfolio declines by approximately ₹5.5 crore and the futures position gains approximately ₹2.2 crore. Net decline approximately ₹3.3 crore.

	• *Scenario 2 — the index rises 5%:* the portfolio gains approximately ₹5.5 crore and the futures position loses approximately ₹2.2 crore. Net gain approximately ₹3.3 crore. The hedge reduces exposure in both directions. Its effectiveness depends on the accuracy of the beta estimate and on the correlation between the portfolio and the index; an incorrect beta results in an over-hedged or under-hedged position, and a portfolio that does not track the index closely will experience residual exposure notwithstanding the hedge.
Risk Profile of the Investment strategy	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the ISID carefully for details on risk factors before investment. Investment Strategy specific Risk Factors are summarized below: a. Risks associated with investment in Equity, Equity related instruments and units of Real Estate Investment Trusts (REITs) b. Risks associated with investing in Debt and Money Market Instruments c. Risk factors associated with Derivatives d. Risk associated with unhedged derivative positions e. Risk associated with derivative strategies f. Risks Associated with exposure in Tri-party Repo g. Risks Associated with Stock Lending and Short Selling h. Risk factors associated with Repo transactions in Corporate Debt i. Risks associated with writing covered call options for equity shares j. Risk Factors Associated with Investments in Foreign Securities k. Risk associated with investment in units of Infrastructure Investment Trusts (InvITs) l. Risk Factors Associated with Investments in Securitised Debt m. Risks associated with investments in units of mutual funds n. Risk Factors Associated with Investments in Debt Instruments with SO / CE o. Risk Factors Associated with Investments in Credit Default Swaps (CDS) p. Risk Factors Associated with Investments in Perpetual Debt Instrument (PDI) q. Geopolitical and War Risk For details on risk factors and risk mitigation measures, please refer ISID.
Plans/Options	The Investment Strategy will offer two Plans: 1. Regular Plan; and 2. Direct Plan The Direct Plan will be offered only for investors who purchase /subscribe Units of the Investment strategy directly with the Fund and will not be available for investors who route their investments through a Distributor. In case neither Distributor's Code nor "Direct" is indicated in the application form, the same will be treated as "Direct Plan" application. The portfolio of the Investment strategy under both these Plans will be common. Each Plan will offer: (i) Growth Option and (ii) IDCW Option. IDCW Option shall have Reinvestment & Payout. The AMC/Trustee reserve the right to introduce Plans/Option(s) as may be deemed appropriate at a later date.

	Default Option: Growth Option If the investor does not clearly specify the choice of Option at the time of investing, the Units will be allotted in the Growth Option of the Investment strategy. **Default Facility: IDCW Reinvestment** If the investor selects IDCW Option but fails to mention the facility, it will be deemed that the investor has opted for IDCW Reinvestment facility. For detailed disclosure on default plans and options, kindly refer SAI.
Applicable NAV (after the Investment Strategy opens for subscriptions and redemptions)	The below cut-off timings and applicability of NAV shall be applicable in respect of valid applications received at the Official Point(s) of Acceptance on a Business Day: **For Purchase of any amount**: In respect of valid applications received upto 3.00 p.m. and where the funds for the entire amount are available for utilization before the cut-off time i.e. credited to the bank account of the Scheme before the cut-off time - the closing NAV of the day shall be applicable. In respect of valid applications received after 3.00 p.m. and where the funds for the entire amount are credited to the bank account of the Scheme either on the same day or before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable. Irrespective of the time of receipt of application, where the funds for the entire amount are available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable. **For Switch-ins of any amount**: In case of switch from one scheme to another scheme received before cut-off i.e. upto 3 p.m. having business day for both the schemes, closing NAV of the Business Day shall be applicable for switch-out scheme and for Switch-in scheme, the closing NAV of the Business Day shall be applicable, on which funds are available for utilization in the switch-in scheme (allocation shall be in line with the redemption payout). **For Redemptions including Switch - outs**: In respect of valid applications received up to 3.00 p.m. – same day's closing NAV shall be applicable. In respect of valid applications received after 3.00 p.m. - the closing NAV of the next Business Day shall be applicable. With respect to investors who transact through the stock exchange, a confirmation slip given by the stock exchange mechanism shall be considered for the purpose of determining Applicable NAV for the Scheme and cut off timing for the transactions. To clarify, for investments through systematic investment routes such as Systematic Investment Plans (SIP), Systematic Transfer Plans (STP), etc. the units will be allotted as per the closing NAV of the day on which the funds are available for utilization by the Target Scheme irrespective of the instalment date of the SIP, STP or record date of dividend etc.

Minimum Application Amount/ Number of Units	**Purchase** Minimum (including switch-in) of Rs. 10,00,000/- and in multiples of Re. 1/- thereafter. Minimum amount for accredited investor: Rs. 1,00,000 and in multiples of Re. 1/- thereafter.	**Additional Purchase** Minimum of Rs.1,000/- and in multiples of Re. 1/- thereafter.	**Redemption** The Redemption / Switchout would be permitted to the extent of credit balance in the Unit holder's account of the Plan(s) / Option(s) of the Scheme (subject to release of pledge / lien or other encumbrances). Amount based redemptions will be in multiples of Re. 1. In case of Units held in dematerialized mode, the Unit Holder can give a request for Redemption only in number of Units which can be fractional units also. Depository participants of registered Depositories can process only redemption request of units held in demat mode. The AMC/ Trustee reserves the right to change/ modify the terms of minimum redemption amount/switch-out. The redemption will be subject to compliance with provisions mentioned under "Minimum investment threshold" in ISID.
Dispatch of Redemption Request	The redemption or repurchase proceeds shall be dispatched to the unitholders within 3 working days from the date of redemption or repurchase.		
Benchmark Index	Nifty 50 Hybrid Composite Debt 50:50 Index (TRI)		
Dividend Policy (IDCW)	**IDCW Distribution Procedure:** SEBI Circular lays down the procedure for Declaration of IDCW which clearly says that quantum of IDCW and record date shall be fixed by the Board of Trustees and AMC shall issue a notice to the public communicating the decision about IDCW including the record date, within one calendar day of the decision made by the Board of Trustees in their meeting. Record date shall be the date that will be considered for the purpose of determining the eligibility of investors whose name appears on the register of Unit holders. The record date shall be 2 working days from the issue of public notice.		

	The Trusteeship Company reserves the right to declare IDCW on a regular basis. The Investment strategy does not guarantee or assure declaration or payment of IDCW. Although the Trustees have intention to declare IDCW under IDCW Option, such declaration of IDCW if any, is subject to Investment strategy's performance & the availability & adequacy of distributable surplus in the Investment strategy at the time of declaration of such IDCW. Investors should note that, when the SIF declares an IDCW under the Investment strategy, the Income distribution shall be dispatched within 7 working days of the record date. The requirement of giving notice & the above laid procedure shall not be compulsory for Investment strategy/plan/option having frequency of IDCW distribution from daily upto monthly IDCW. Further, investors are requested to note that the amounts can be distributed out of the investor's capital (Equilization Reserve), which is part of sales price that represents realized gains. **Effect of IDCWs:** When IDCWs are declared and paid under the Investment strategy, the net assets attributable to Unit holders in the IDCW Option will stand reduced by the IDCW amount subject to TDS and statutory levy if any. The NAV of the Unit holders in the Growth Option will remain unaffected by the payment of IDCW. Even though the asset portfolio will be un-segregated, the NAVs of the Growth Option and IDCW Option will be distinctly different after declaration of the first IDCW to the extent of distributed income, tax and statutory levy paid thereon, where applicable, and expenses relating to the distribution of IDCWs. **Mode of Payment of IDCWs:** The Investment strategy proposes to pay IDCW by Direct Credit or through RTGS or NEFT or any other EFT means. RBI offers the facility of EFT for facilitating better customer service by direct credit of IDCW amount to a Unit holder's bank account through electronic credit which avoids loss of IDCW in transit or fraudulent encashment. The SIF will endeavour to offer this facility for payment of IDCW/repurchase proceeds to the Unit holders residing in any of the cities where such a Bank facility is available. The Fund is arranging with selected bankers to enable direct credits into the bank accounts of the investors at these banks. If an investor has an account with a bank with which the Fund will tie up for direct credit, the IDCW amount will be credited directly to the bank account, under intimation to the Unit holder by email/SMS/post. The SIF, on a best effort basis, and after scrutinising the names of the banks where Unit holders have their accounts, will enable direct credit/RTGS/NEFT/ to the Unit holders' bank accounts. While the preferred mode of payment is through EFT route, the AMC is at the sole discretion to pay IDCW by any other means (including at par cheques and

	demand drafts, where the EFT facility is not available in a particular city or Bank or as the Trusteeship Company or the AMC deems fit in the interest of investors.) All the IDCW payments shall be in accordance and compliance with SEBI Regulations, as amended from time to time. If Unit holders have opted for IDCW Payout Option, if the IDCW amount payable to such Unit holders (net of tax deducted at source, wherever applicable) is less than or equal to Rs. 250, following treatment shall be: a. Where the option to payout IDCW is available in electronic mode: The IDCW amount shall be paid to the Unit holders. However, if the payment through electronic mode is unsuccessful, the AMC shall issue IDCW warrant for such amount; and b. Where the option to payout IDCW is not available in electronic mode: The IDCW shall be mandatorily reinvested in the respective Investment strategy/Plan by issuing additional Units at the applicable ex-IDCW NAV.
Name of the Fund Manager including the tenure for which the fund manager has been managing the Investment Strategy	Mr. Dwaipayan Ray Mr. Ravneet Singh Mr. Ashish Jain Mr. Ramesh S
Name of the Trustee Company	AlphaGrep Mutual Fund Trustee Private Limited
Performance of the Investment Strategy	This Investment Strategy is a new Investment Strategy and does not have any performance track record.
Additional Investment Strategy Related Disclosures	i. Investment Strategy's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) - Not Applicable as this is a new Investment Strategy. ii. Portfolio Turnover Rate - Not Applicable as this is a new Investment Strategy.
Expenses of the Investment Strategy	**New Fund Offer Period-** NFO opens on: October 14, 2026 NFO closes on: October 28, 2026 These expenses are incurred for the purpose of various activities related to the NFO like sales and distribution fees paid marketing and advertising, registrar expenses, printing and stationary, bank charges etc. Details of source for meeting these expenses may be disclosed. AMC to ensure that no NFO expenses will be / were charged to the Investment Strategy. The NFO expenses for launch of Investment Strategy will be borne by the AMC.

<table>
<tr><td>Load Structure</td><td>Exit Load:
-If the units are redeemed/ switched out on or before 30 days from the date of allotment – 0.50% of the applicable NAV.

-If the units are redeemed/switched out after 30 days from the date of allotment – Nil

For further details, please refer ISID.</td></tr>
<tr><td>Recurring expenses</td><td>These are the fees and expenses for operating the Investment Strategy. These expenses include investment management and advisory fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc., as given in the table below:

Considering the asset allocation pattern of the scheme, AMC has estimated maximum Base expense ratio (BER) of 1.85% for Regular Plan, on the daily average net assets of the Scheme, will be charged to the Scheme as expenses, as given below. For actual current expenses being charged, the investor should refer to the website of the mutual fund.

Direct Plan under the Scheme shall have a lower expense ratio than Regular Plan, excluding distribution expenses, commission, etc., and no commission shall be paid from Direct Plan. All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a Regular Plan

Limits specified under Regulation 66(7)(c) of SEBI (MF) Regulations for BER is as follows:
on the first Rs. 500 crore of the Scheme's daily net assets - upto 1.85%;
on the next Rs. 250 crore of the Scheme's daily net assets – upto 1.65%;
on the next Rs. 1,250 crore of the Scheme's daily net assets – upto 1.40%;
on the next Rs. 3,000 crore of the Scheme's daily net assets – upto 1.25%;
on the next Rs. 5,000 crore of the Scheme's daily net assets – upto 1.15%;
on the next Rs. 40,000 crore of the Scheme's daily net assets - Expense Ratio reduction of 0.05% for every increase of Rs. 5,000 crores of daily net assets or part thereof
on balance of the assets – upto 0.70 %

For the actual current expenses being charged, the investor shall refer to the website of the SIF www.eminencesif.ai

<table>
<tr><th>Expense Head</th><th>% p.a. of daily Net Assets (Estimated p.a.)</th></tr>
<tr><td>Investment Management & Advisory Fee</td><td rowspan="9">Up to 1.85%</td></tr>
<tr><td>Audit fees / fees and expenses of trustees[3]</td></tr>
<tr><td>Custodial Fees</td></tr>
<tr><td>Registrar & Transfer Agent Fees including cost of providing account statements/ IDCW/ redemption cheques/ warrants</td></tr>
<tr><td>Marketing & Selling Expenses including fees, commission and charges towards distribution of investment strategy</td></tr>
<tr><td>Costs related to investor communications</td></tr>
<tr><td>Costs of fund transfer from location to location</td></tr>
<tr><td>Cost towards investor education, awareness and financial</td></tr>
</table>
</td></tr>
</table>

inclusion[&]	
Brokerage & Transaction cost pertaining to execution of trade	
Cost of statutory advertisements	
Other Expenses (as specified as per Reg 66 of SEBI (MF) Regulations, 2026)	
Maximum Base expenses ratio (BER) permissible under Regulation 66	Up to 1.85%
Statutory levies (including GST) on all expenses excluding brokerage and transaction cost	As applicable*
Statutory levies (including GST) on brokerage and transaction cost	As applicable*

*Statutory levies include GST on Fees, Commission, Brokerage or related expenses, Securities Transaction Tax, Stamp Duty, etc.

&In terms of para 11.9 of the Master Circular, AMCs shall annually set apart 0.02% of the daily net assets of the Scheme for investor education, awareness and financial inclusion initiatives.

Additional expenses under Regulation 66:

1. Regulation 66(9) - Brokerage costs incurred for the execution of trades, may be charged by the mutual fund scheme, over and above the base expense ratio subject to a maximum of 0.06% of the trade value in case of cash market transactions and 0.02% of trade value in case of derivative transactions. Expenses charged towards brokerage, over and above the specified limit, shall be a part of the base expense ratio limit specified in Regulation 66(7) of the SEBI (MF) Regulations.

2. Regulation 66(10) - Transaction cost incurred for the purpose of execution of a trade shall mean regulatory levies and any other expenses charged by the stock exchanges, clearing corporation, and clearing house, as applicable. Such transaction costs shall not form part of the base expense ratio.

3. The total expenses charged to the Scheme shall not exceed the limits stated in Regulation 66 of the SEBI (Mutual Funds) Regulations, 2026 and as permitted under SEBI circulars issued from time to time.

4. Further, in accordance with paragraph 11.6 of the Master Circular, the AMC shall be authorized and permitted to provide additional incentives to empanelled distributors for onboarding new individual investors (new PAN) from B-30 cities at the Mutual Fund level and new women individual investors (new PAN) from both top 30 and B-30 cities. In this regard, the additional commission required to be paid to concerned distributors by the AMC, shall be as follows:

Investment mode	Commission Structure
Lump sum investment	1% of the amount of the first application, subject to maximum of INR 2,000, provided that the investor remains invested for a minimum period of 1 (one) year.
Systematic Investment Plan (SIP)	1% of the total investment made during the first year, subject to maximum of INR 2,000.

The aforementioned additional distribution commission shall be paid out of the 2 bps on daily net assets mandated to be set apart annually by the AMC for investor education, awareness and financial inclusion initiatives subject to adequate

clawback provisions and the additional commission shall be in addition to the trail commission to be paid to the distributors from the concerned scheme.

5. The Mutual Fund would update the current expense ratios on the website at least 3 (three) working days prior to the effective date of the change and update the BER under the tab 'BER' on the website.

6. The AMC shall prominently disclose the TER of all schemes under a separate head titled **"Total Expense Ratio"** on its website. The TER shall also be disclosed on the website of the Association of Mutual Funds in India. Any change in the BER shall be updated on the Mutual Fund's website and AMFI's website at least **three (3) working days prior to the effective date of such change**. The current TER of the Scheme, along with a downloadable spreadsheet detailing the expense structure, is available on the Mutual Fund's website at under the "TER" section and on AMFI's website at www.amfiindia.com

Impact of TER on returns of both ***Direct plan and Regular plan through an illustration may be provided***

Expense ratio, normally expressed as a percentage of Average Assets under Management, is calculated by dividing the permissible expenses ***under*** the SEBI (Mutual Funds) Regulations, 2026. by the average net assets. To further illustrate the above in rupees terms, for the Scheme under reference, suppose an Investor invested Rs. 10,000/- (after deduction of stamp duty and transaction charges, if any) the impact of expenses charged will be as under:

Particulars	Direct Plan	Regular Plan
Amount invested at the beginning of the year (Rs,)	10,000	10,000
Returns before Expenses (Rs.)	1,500	1,500
Expenses other than Distribution Expenses (Rs.)	50	50
Distribution Expenses (Rs.)	-	100
Returns after Expenses at the end of the year (Rs.)	1450	1350
Returns (%)	14.50%	13.50%

Note(s):

- The purpose of the above illustration is purely to explain the impact of expense ratio charged under the Scheme and should not be construed as providing any kind of investment advice or guarantee of returns on investments.
- It is assumed that the expenses charged are evenly distributed throughout the year.
- The expenses of the Direct Plan under the Scheme will be lower to the extent of the distribution expenses/commission.
- Any tax impact has not been considered in the above example, in view of the individual nature of the tax implications. Each investor is advised to seek appropriate advice.

For the actual current expenses being charged to the scheme, investors should refer to the website at link www.eminencesif.ai**.** Any change proposed to the current expense ratio will be updated on the website at least 3 (three) working days prior to the change.

	As per the SEBI (Mutual Funds) Regulations, 2026, the total recurring expenses that can be charged to the Scheme shall be subject to the applicable guidelines. The total recurring expenses of the scheme will however be limited to the ceilings as prescribed under Regulation 66(7) of the Regulations. **TER for the Segregated Portfolio** 1) AMC will not charge investment and advisory fees on the segregated portfolio. However, TER (excluding the investment and advisory fees) can be charged, on a pro-rata basis only upon recovery of the investments in segregated portfolio. 2) The TER so levied shall not exceed the simple average of such expenses (excluding the investment and advisory fees) charged on daily basis on the main portfolio (in % terms) during the period for which the segregated portfolio was in existence.in addition to the TER mentioned above, the legal charges related to recovery of the investments of the segregated portfolio may be charged to the segregated portfolio as mentioned below. 3)The legal charges related to recovery of the investments of the segregated portfolio may be charged to the segregated portfolio in proportion to the amount of recovery. However, the same shall be within the maximum TER limit as applicable to the main portfolio. The legal charges in excess of the TER limits, if any, shall be borne by the AMC. 4) The costs related to segregated portfolio shall in no case be charged to the main portfolio. Actual expenses for the previous financial year: Not Applicable in case of a new Investment Strategy.	
Tax treatment for the Investors (Unitholders)	Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his/her tax advisor.	
Daily Net Asset Value (NAV) Publication	The AMC will calculate and disclose the first NAV not later than 5 business days from the date of allotment. Subsequently, the AMC shall update the NAVs on website of the Association of Mutual Funds in India – AMFI (www.amfiindia.com) and on the website www.eminencesif.ai by 11.00 p.m. on every Business Day.	
For Investor Grievances please contact	**Name and Address of Registrar:** Computer Age Management Services Limited (CAMS) Tel: 044 - 28432650 Website: www.camsonline.com Address: CAMS, Rayala Tower-1, 158 Anna Salai, Chennai - 600002	**Customer Service Cell of Mutual Fund:** Ms. Vasudha Shah, Investor Relations Officer, **AlphaGrep Mutual Fund**. Address: Unit No. 1408, 14th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India. Toll Free: 1800-569-8900 Email: clientservices@alphagrepmf.ai
Unitholders' Information	**Investment Strategy Portfolio** The SIF shall disclose portfolio (along with ISIN), including derivative instruments, as on the last day of every alternate month (i.e. as on the end of May, July, September, November, January and March) for all its investment strategies (including debt based investment strategies) on the AMC website www.eminencesif.ai and on AMFI website www.amfiindia.com within 10 calendar	

	days from the close of such month in a user friendly and downloadable spreadsheet format. Portfolio shall also be sent by e-mail to all investors by the AMC/SIF. Physical copy of the Investment Strategy portfolio shall be provided to investors on receipt of specific request from the investor, without charging any cost. **Half Yearly disclosure of Un-Audited Financial Results** The AMC / SIF shall within one month from the close of each half year, that is on March 31 and on September 30, host a soft copy of its half-yearly unaudited financial results on the website www.eminencesif.ai in a user-friendly, downloadable and machine readable format. The unaudited financial results would be displayed on website www.eminencesif.ai and AMFI website www.amfiindia.com. **Annual Report** Investment Strategy wise annual report or an abridged summary thereof shall be mailed to all Investors whose email addresses are registered with the Mutual Fund, within four months from the date of closure of the relevant financial year i.e. 31st March each year. The annual report would be displayed on website www.eminencesif.ai and AMFI website www.amfiindia.com. The physical copy of the Investment Strategy-wise annual report or abridged summary shall be made available to the investors at the registered office of the AMC. **Disclosure on Risk-Band** In accordance with para 21.12 of SEBI Master Circular for Mutual Fund dated March 20, 2026, Risk-band shall be evaluated on a monthly basis and SIFs/AMCs shall disclose the Risk-Band for all their investment strategies on their respective website and on AMFI website within 10 days from the close of each month. Any change in Risk-band of the investment strategy or benchmark shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders of that particular investment strategy. Further, the SIFs shall disclose the risk level of investment strategies as on March 31st of every year, along with number of times the risk level has changed over the year, on their websites and AMFI website. **Disclosure on Investment Strategy Summary Document (SSD)** A Investment Strategy Summary Document (SSD) of the Investment Strategy which contains details such as features of Investment Strategy, Fund Manager details, investment details, investment objective, expense ratio etc. will be made available on the website www.eminencesif.ai and AMFI https://www.amfiindia.com.

COMMON APPLICATION FORM

Eminence SIF
Offered By AlphaGrep Mutual Fund

Strategy code: EMIE/I/H/HLSF/26/08/0001/AGMF

Please read the instructions and refer to ISID, KIM and Addendums issued for the respective schemes and SAI of Eminence SIF.

1. DISTRIBUTOR INFORMATION* (Please refer instruction no. 1)

Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp & Reference No.

☐ **By mentioning RIA/PMRN code, I/We authorize you to shares with the Investment Adviser / Portfolio Manager the details of my/our transaction in the strategy(s) of AlphaGrep Mutual Fund. (Please ✓ if applicable) *In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

Go Green Initiative (Refer instruction no.11) *Opt-in – Physical *(Default Opt-out)

2. ACCREDITED INVESTOR ☐ Yes (If Yes,Please provide the details) ☐ No

Please Refer refer Instruction "A" and "K".

Certificate Number_____ Valid from: D D M M Y Y Y Y To: D D M M Y Y Y Y (Please submit copy of Registration certificate)

Application for ☐ Lumpsum ☐ Lumpsum with SIP

Minimum Investment: Acredited Investor - (₹ 1 Lakh & Others ₹ 10 Lakhs)

3. MODE OF HOLDING (Please refer instruction no. 2)

(In case of Demat Purchase, Mode of Holding should be same as in Demat Account) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

4. APPLICANT(S) NAME AND INFORMATION (If the 1st / Sole Applicant is Minor, then please provide details of natural / legal guardian) (Please refer instruction no. 3 & 4)

Folio No. (Kindly fill section 9,10,11,13 and 14) Gender ☐ Male ☐ Female ☐ Others

Name of Sole / 1st Applicant (Name as per IT Records) Mr/ Ms. / M/s.

PAN/PEKRN (Mandatory) CKYC No. Date of Birth / Date of Incorporation (Mandatory) D D M M Y Y Y Y

Date of Birth Proof for minors (If any one please fill guardian details)

☐ Birth Certificate ☐ Marks Sheet (HSC/ICSE/CBSE) ☐ School Leaving Certificate ☐ Passport ☐ Others_____

Mobile No. Email ID

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA ☐ Others

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA ☐ Others

The default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: (please ✓ here) ☐ Account Statement ☐ Annual Report/Abridged summary ☐ Other Statutory Information (We would recommend you to choose an online mode to help us save paper & contribute towards a greener & cleaner environment.)

LEI Code Valid upto D D M M Y Y Y Y (Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for Non-Individual investors. Refer Instruction no. 4a)

Tax Status (Mandatory, Please ✓) ☐ Resident Individual ☐ NRI ☐ Partnership ☐ Trust ☐ HUF ☐ AOP ☐ Bank ☐ Minor through guardian ☐ Company ☐ FIIs ☐ PIO ☐ Body Corporate ☐ Society/Club ☐ Sole Proprietorship ☐ Non Profit Organisation ☐ Financial Institution ☐ NBFC ☐ Others (Please Specify)

Non Profit Orgnization [NPO] ☐ Yes or ☐ No

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

If yes, please quote the Registration No. provided by DARPAN portal of NITI Aayog:

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will reject the application. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

5. GUARDIAN DETAILS (In case First / Sole Applicant is minor) / CONTACT PERSON- DESIGNATION / POA HOLDER (In case of Non- Individual Investors)

[Name and DOB shall be as per IT Records]

Name as per IT Records First Second Third

PAN (Mandatory) CKYC No. Gender ☐ Male ☐ Female ☐ Others

Mobile No. Email ID

Designation/Relationship with Minor Date of Birth/Date of Incorporation (Mandatory) D D M M Y Y Y Y

ACKNOWLEDGEMENT SLIP

AlphaGrep Investment Management Private Limited
Unit No. 12 A-05, 13th Floor, Parinee Crescenzo G Block, Bandra Kurla Complex, Bandra (East) Mumbai- 400051, Maharashtra, India.

Received from Mr. / Ms. _____ Date:____/____/_____

Collection Centre / AMC Stamp & Signature

Application No

6a. MAILING ADDRESS (Address as per KYC)

Local Address of 1st Applicant _____

_____ City_____ Dist _____

State _____ Pin Code _____ Telephone _____ Mobile _____

6b. OVERSEAS CORRESPONDENCE ADDRESS (Mandatory for NRI/ FII Applicant)

[Please provide Full Address P.O. Box address is not sufficient] _____

_____

Zip Code _____ Telephone _____ Mobile _____

7a. SECOND APPLICANT'S DETAILS* (In case of Minor, there shall be no joint holders) [Name and DOB shall be as per Income Tax Records]

Name as per IT Records | First | Second | Third

PAN (Mandatory) | CKYC No. | Gender ☐ Male ☐ Female ☐ Others

Mobile No. | Email ID | Date of Birth (Mandatory) D D M M Y Y Y Y

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian
☐ PMS ☐ Custodian ☐ POA ☐ Others _____

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian
☐ PMS ☐ Custodian ☐ POA ☐ Others _____

Tax Status (Mandatory, Please ✓) ☐ Resident Individual ☐ NRI

7b. THIRD APPLICANT'S DETAILS* (In case of Minor, there shall be no joint holders) [Name and DOB shall be as per Income Tax Records]

Name as per IT Records | First | Second | Third

PAN (Mandatory) | CKYC No. | Gender ☐ Male ☐ Female ☐ Others

Mobile No. | Email ID | Date of Birth (Mandatory) D D M M Y Y Y Y

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian
☐ PMS ☐ Custodian ☐ POA ☐ Others _____

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian
☐ PMS ☐ Custodian ☐ POA ☐ Others _____

Tax Status (Mandatory, Please ✓) ☐ Resident Individual ☐ NRI

8a. KYC Details (Mandatory Occupation) (Please refer instruction no. 4)

First Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify) _____

Seond Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify) _____

Third Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify) _____

8b. Gross Annual Income

First Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
OR Net worth (for Non-Individuals) ₹ (please specify) as on D D M M Y Y Y Y (Not older than 1 year)

Second Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
OR Net worth (for Non-Individuals) ₹ (please specify) as on D D M M Y Y Y Y (Not older than 1 year)

Third Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
OR Net worth (for Non-Individuals) ₹ (please specify) as on D D M M Y Y Y Y (Not older than 1 year)

---✂---✂---

STRATEGY NAME/PLAN	OPTION	PAYMENT DETAILS			
		Amount (₹)	Instrument No	Date	Drawn on Bank (Bank and Branch)
Eminence Hybrid Long-Short Fund ☐ Regular ☐ Direct	☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment				

8c. For Individuals (Politically Exposed Person) (Please refer instruction no. 4d)

First Applicant:	☐ I am Politically Exposed Person (PEP)	☐ I am Related to Politically Exposed Person (RPEP)	☐ Not applicable
Second Applicant:	☐ I am Politically Exposed Person (PEP)	☐ I am Related to Politically Exposed Person (RPEP)	☐ Not applicable
Third Applicant:	☐ I am Politically Exposed Person (PEP)	☐ I am Related to Politically Exposed Person (RPEP)	☐ Not applicable

8d. For Non Individuals, if involved in any of the below mentioned services, please ✓ the appropriate option :

(i)) Foreign Exchange / Money Changer Services ☐ Yes ☐ No (ii) Gaming/Gambling/Lottery/Casino Services ☐ Yes ☐ No (iii) Money Lending/Pawning ☐ Yes ☐ No

9. BANK ACCOUNT DETAILS FOR PAYOUT (Please attach copy of cancelled cheque) (Please refer instruction no. 5)

Name of the Bank

Account No. Account Type ☐ SB ☐ CA ☐ SB-NRE ☐ SB-NRO Others ________________

Bank Branch ________________ Address________________

________________ Bank City________________ State ________________ Pin Code ________________

MICR Code (9 digits) $IFSC Code for NEFT / RTGS $This is an 11 Digit Number, kindly obtain it from your cheque copy or Bank Branch

10. INVESTMENT STRATEGY & PAYMENT DETAILS* The name of the first/ sole applicant must be pre-printed on the cheque. (Please refer instruction no. 6)

Name of the Investement Strategy	Plan Please (✓)	Option & Sub-Option Please (✓)	Investment Amount (₹)
Eminence Hybrid Long-Short Fund	☐ Regular ☐ Direct Plan	☐ Growth (Default) ☐ IDCW Payout ☐ IDCW Reinvestment (Default for IDCW)	Please Specify
Cheque to be drawn in favour of Strategy Name. For e.g. '**Eminence Hybrid Long-Short Fund**' and the cheque amount should match with Investment amount mentioned here.			

Payment Type (Please ✓)	☐ Non-Third Party	☐ Third Party Payment (Pls fill third party declaration form)	
*** Please note that investments through SIP will be accepted only if the minimum investment amount criteria of ₹10 lakh is met (refer ISID for more details).**			
Payment Details	☐ **Lumpsum**	☐ **Lumpsum with SIP***	☐ **SIP Top Up**
Amount (₹) (Minimum application Amt. is Rs. 10 lakh & in multiples of Rs. 1 thereafter)	in words	in words	
Mode of Payment (Please ✓) ☐ **Cheque** ☐ **NEFT / RTGS** ☐ **OTM (One Time Mandate)** (This facility is only applicable for Existing Investors who have an existing OTM registered in the folio.)	Cheque / DD No./UTR No	Cheque / UTR No.	
Drawn on Bank & Date			

11. UNIT HOLDING OPTION ☐ PHYSICAL MODE (Default) ☐ DEMAT MODE* (Please refer instruction no. 7)

* Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode. Please ensure that the sequence of names as given in the order of the applicants matches as per the Depository Details. In case of any ambiguity or validation failure with the depository details, AMC will allot units in the Physical Mode.

National Securities Depository Limited		Central Depository Services (India) Limited
DP Name		DP Name
DP ID IN	Beneficiary A/c No.	Beneficiary A/c No.

Enclosures - Please (✓) ☐ Client Masters List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

12. FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor) [Mandatory] (Please refer instruction no. 8)

→ Non-Individual investors will require to fill separate FATCA & Ultimate Beneficial Ownership (UBO) Form. Entities registered as Non-Profit Organizations (NPO's) / Trust / Societies etc will also be required to fill a separate NPO form available on our website https://www.alphagrepmf.ai

Particulars	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			☐ Indian ☐ U.S. ☐ Others (Please specify)
Second Applicant			☐ Indian ☐ U.S. ☐ Others (Please specify)
Third Applicant			☐ Indian ☐ U.S. ☐ Others (Please specify)

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ☐ Yes ☐ No [Please tick (✓)]

If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident/Green Card Holder/Tax Resident in the respective countries.

Particulars	Country of Tax Residency	Tax Identification Number or Functional Equivalent (Mandatory)	Identification Type (TIN or other please specify)	If TIN is not available please tick (✓) the reason A, B or C (as defined below
First Applicant / Guardian				Reason : ☐ A ☐ B ☐ C
Second Applicant				Reason : ☐ A ☐ B ☐ C
Third Applicant				Reason : ☐ A ☐ B ☐ C

☐ Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.
☐ Reason B → No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected)
☐ Reason C → Others, please state the reason thereof:

*Address Type of Sole/1st Holder:	*Address Type of 2nd Holder:	*Address Type of 3rd Holder
☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business

*If the address type is not ticked the default will be considered as residential.

13. NOMINATION - Nomination shall be optional for jointly held Mutual Fund/SIF folios. Details for Nominee OPT-IN/Declaration of Nominee OPT-OUT to be mandatorily filled in for processing the application as per the below selected Option A or B

☐ **A) Nomination OPT- IN** - I/we wish to Nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our account in the event of my / our death.

This nomination shall supersede any prior nomination made by us/me if any

Mandatory Information							Non-mandatory Information
Name of Nominee(s) (IN CAPITALS) (Mandatory)		Date of Birth (for Minor)	Relationship with the Applicant (select one)	Nominee Guardian (in case of Minor) Identification details *[Please tick any one of the following and provide ID Number and no copies required]*	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country	Mobile & Email of nominee(s)/ Guardian in case of Minor Guardian in case of Minor (Mandatory)	Nominee Guardian Name (in case Nominee of Minor)
Mr./Ms. Details of 1st Nominee	%		☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Other _____ _____	☐ PAN _____ ☐ Aadhar (masked last 4 digits)******* _____ ☐ Passport *(for NRIs/ OCIs/PIOs)* _____ ☐ Driving Lincense _____	Pin Code		
Mr./Ms. Details of 2nd Nominee	%		☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Other _____ _____	☐ PAN _____ ☐ Aadhar (masked last 4 digits)******* _____ ☐ Passport *(for NRIs/ OCIs/PIOs)* _____ ☐ Driving Lincense _____	Pin Code		
Mr./Ms. Details of 3rd Nominee	%		☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Other _____ _____	☐ PAN _____ ☐ Aadhar (masked last 4 digits)******* _____ ☐ Passport *(for NRIs/ OCIs/PIOs)* _____ ☐ Driving Lincense _____	Pin Code		

Nomination can be made upto three nominees in the account in case the above percentages do not total upto 100%. #Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate).

☐ Name of nominee(s) with % (Default) ☐ Nomination: Yes / No (Only status of nomination to be displayed)

☐ **B) Nomination OPT-OUT** - (Signature Mandatory, in case investor(s) deside not to nominate) I// We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund/SIF folio and understand the implication / issues involved in non-appointment of any nominee(s) and am, / are further aware that in case of my demise / death of all the unit holders in the folio, my / our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund / AMC for settlement of death claim / transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund/SIF.	

All documents for entities above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

% share as specified by investor at the time of nomination			**% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'**		
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0%	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**	**-**	**40%**	**60%**	**100%**

Contd...

14. DECLARATION AND SIGNATURE

I/We have read, understood the terms and conditions of the ISID/KIM/SAI and the addendums issued thereto till date, as well as the rules and regulations of SEBI, AMFI, prevention of Money Laundering Act, 2002, Foreign Account tax compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS Provision of the Central board of Direct Taxes notified Rules 114 F to 114 H, as part of the Income-tax Rules, 1962 and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unit holder. I/We hereby apply to the Trustees for allotment of Units of the Investment Strategies of Eminence SIF - offered by AlphaGrep Mutual Fund and confirm and declare as follows: I/We am/are eligible investor's as per the Investment Strategy related documents and not prohibited from accessing capital markets by any order/ruling/Judgment etc. passed by SEBI/Statutory Authority or Courts in India and Foreign laws. I/We am/are authorized to make this investment as per the constitutive documents/Authorizations. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Investment Strategy is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act/Regulations/Rules/Notifications/Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Investment Strategies managed by you, to the above-mentioned SEBI Registered Investment Adviser/RIA. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing SIFs of various investment strategies from amongst which the SIF/ Investment Strategy is being recommended to me/us. I/We hereby declare that the above information is given by the undersigned and the particulars given by me/us are correct and complete. I/We hereby agree and authorize you to disclose, share, remit to any form/manner/mode the above information and/or any part of it including the changes/up-dates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company/RTA, its employees, agents and third party service providers, SEBI Registered intermediaries

for single updation/submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial intelligence unit-India (FIU-IND) etc. without any intimation/advice to me/us. I/We have read and understood the purpose(s), as described under the Privacy Policy of the AMC, for which my/our details can be shared with various entities/people/authorities indicated in foregoing Para and I/We hereby consent to the same. I/We hereby confirm that I/We have not been offered/Communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/its distributor for this investment. I/We hereby authorize the representatives of the Fund/AMC and its Associates to contact me through any mode of communication. This will override the registry on DND/DNDC, as the case may be.

^Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Name of the Holder	Signature of two witness(es) along with name & address are required, if the account holder affixes thumb impression instead of signature	
	Name & Address of Witness	Witness Signature
Sole / 1st Holder (Mr/Ms.)	Witness 1 - Name: _____ Address: _____ Witness 2 - Name: _____ Address: _____	Witness 1 Witness 2
2nd Holder (Mr./Ms.)	Witness 1 - Name: _____ Address: _____ Witness 2 - Name: _____ Address: _____	Witness 1 Witness 2
3rd Holder (Mr./Ms.)	Witness 1 - Name: _____ Address: _____ Witness 2 - Name: _____ Address: _____	Witness 1 Witness 2

Points to remember

Please ensure that:

1. Your Application Form is complete in all respects & signed by all applicants.
2. Address and Contact Details are mentioned in full. Email id & Mobile number should be provided along with the declaration whether it belongs to Self or a Family member.
3. Bank Account Details are entered completely and correctly. IFSC Code & 9 digit MICR Code of your Bank is mentioned in the Application Form.
4. Permanent Account Number (PAN) Mandatory for all Investors (including guardians, joint holders, NRIs and POA holders) irrespective of the investment amount.
5. Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 4(e) for more information)
6. Your Investment Cheque / DD is drawn in favour of < Scheme Name > dated and signed.
7. Application Number is mentioned on the reverse of the cheque..
8. A cancelled cheque leaf of your Bank is enclosed in case your investment cheque is not from the bank account that you have furnished in the Application Form.
9. Documents as listed are submitted along with the Application form (as applicable to your specific case).

Documents	Individuals	NRIs/ PIO/ OC	Minors	Companies / Body Corporates	Trusts	Societies	HUF	Partnership Firms / LL	FPIs	Investments through Constituted Attorney
Signed A/c Payee cheque/draft favouring the scheme	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Copy of cancelled cheque (Required where pay out bank details are different from the instrument bank)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution / Authorisation to invest				✓	✓	✓		✓	✓	
List of Authorised Signatories with Specimen Signature(s)				✓	✓	✓		✓	✓	
Memorandum & Articles of Association				✓						
Trust Deed					✓					
Bye-Laws						✓				
Partnership Deed / Deed of Declaration							✓	✓		
Proof of PAN & KYC / CKYC - KIN number (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate		✓							✓	
Date of Birth Certificate or School Living Certificate or Passport of Minor evidencing relationship with Guardian			✓							
Declaration for Identification of Beneficial ownership				✓	✓	✓	✓	✓	✓	✓
FATCA / CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO/OCI (As applicable)		✓								

TOLL FREE NUMBER: 1800 569 8900 | EMAIL: clientservices@alphagrepmf.ai | WEBSITE: www.eminencesif.ai

GENERAL GUIDELINES FOR NFO APPLICATION FORM

A. Please read the Investment Strategy Information Document (ISID) /Key Information Memorandum of the respective Scheme carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the application form and tendering payment.

B. Please furnish all information marked as 'MANDATORY': In the absence of any mandatory information, the application would be rejected.

C. The application form should be completed in ENGLISH and in BLOCK LETTERS.

D. All cheques, demand drafts and pay orders should be crossed "Account Payee only" and made in favour of "Scheme Name" - E.g. Eminence Hybrid Long-Short Fund.

E. If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s).

F. Any over-writing / changes made while filling the form must be authenticated by cancelling theoriginal entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.

G. Investors must write the application form number / folio number /PAN number on the reverse of the cheque/demand draft.

H. FATCA Declaration: Individual investors, please fill in FATCA / CRS annexure and attach along with Application form. Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure and attach along with Application form available on our website.

I. In case of new individual investors who are not KYC compliant, please fill the CKYC form issued by Central KYC Registry (CKYC) appended in the form and also available on our website.

J. In case of new non-individual investors, please fill the KYC application form issued by KYC Registration Agency available on our website https://alphagrepmf.ai

K. ACCREDITED INVESTOR An Accredited Investor ("AI") is an investor who meets the requirements set out by the Securities and Exchange Board of India (SEBI) vide Circular number SEBI/HO/IMD/IMD- I/DF9/P/CIR/2021/620 dated August 26, 2021, and SEBI/HO/AFD/PoD1/CIR/2023/ 189 dated December 18, 2023 and has obtained a certificate as an 'Accredited Investor' from an Accredited Agency recognized by Securities and Exchange Board of India. An Accredited Investor is exempt from minimum Investment threshold of 10 Lakhs for SIF. During folio creation, Accredited investors need to share their Accredited Investor Certificate, based on which they will be identified, and their PAN will be tagged as an Accredited Investor PAN.

L. In case of existing individual and non individual investors who are KYC compliant, please provide the KYC acknowledgement issued by the KYC Registration Agency.

M. Please strike off sections that are not applicable.

INSTRUCTIONS FOR NFO APPLICATION FORM

1. DISTRIBUTOR INFORMATION

a. Commission (if any) shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

b. Please mention 'DIRECT' in case the application is not routed through any distributor.

c. Pursuant to SEBI circular (old) dated September 13, 2012, mutual funds have created a unique identity number of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor. This Employee Unique Identification Number is referred as "EUIN". EUIN aims to assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leaves the employment of the distributor or his/her sub broker. Quoting of EUIN is mandatory in case of advisory transactions.

d. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column "Sub broker ARN code" separately provided, in addition to the current practice of affixing the internal code issued by the main ARN holder in the "Sub broker code (as allotted by ARN holder)" column and the EUIN of the Sales Person (if any) in the "EUIN" column.

e. Distributor are advised to ensure that they fill in the RIA/PMRN code, in case they are a Registered Investment Advisor / Portfolio Manager.

f. Investors are requested to note that EUIN is applicable for transactions such as Purchases, Switches, Registrations of SIP/STP and EUIN is not applicable for transactions such as Installments under SIP/STP/SWP, Redemption, SWP Registration.

g. Investors are requested to note that EUIN is largely applicable to sales persons of non individual ARN holders only (whether acting in the capacity of the main distributor or sub broker). Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular.

2. MODE OF HOLDING

Please select mode of holding, if option left blank then default option of Anyone or Survivor will be considered.

3. EXISTING INVESTORS OF ALPHAGREP MUTUAL FUND

If you are an existing investor please mention your existing folio number, so that the unit will be allotted in the same folio. If it is blank, then new folio number will be generated.

4. SOLE/ FIRST APPLICANT'S DETAILS

a. Please furnish names of all applicants. The name of the Sole /First Applicant should be mentioned in the same manner in which it appears in the Income Tax PAN card. Please note the following:

- In case the applicant is a Non individual Investor (including HUF), then Legal Entity Identifier(LEI) Number is mandatory to be mentioned in the space provided. As per the RBI circular No. RBI/2020-21/82 - DPSS.CO.OD No.901/06.24.001/2020-21 dated January 05, 2021, it is mandatory for all Non-individuals to obtain Legal Entity Identifier(LEI) and quote the same for any transactions beyond Rs. 50 crore routed through RTGS / NEFT w.e.f 1st April'21.
- In case the applicant is a minor, the Guardian's name should be stated in the space provided (Name of Guardian / Contact Person). It is mandatory to provide the minor's date of birth in the space provided.

b. In case the application is being made on behalf of a minor, he / she shall be the Sole Holder/Beneficiary. There shall be no joint account with a minor unitholder. Please indicate the tax status of the sole/1 applicant at the time of investment. The abbreviations used in this section are: NRI: Non-Resident Indian Individual, PIO: Person of Indian Origin, FII: Foreign Institutional Investor, NGO: Non Government Organization, AOP: Association of Persons, BOI: Body of Individuals, HUF: Hindu Undivided Family.

c. Where the investment is on behalf of a Minor by the Guardian:

- The Minor shall be the first and sole holder in the account.
- No Joint holders are allowed. In case an investor provides joint holder details, these shall be ignored.
- Guardian should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- Guardian should mention the relationship with Minor and date of birth of the Minor on the application form.
- A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photocopy of any one of the following documents can be submitted a) Birth certificate of the minor or b) school leaving certificate/ mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc. c) Passport of the minor d) Any other suitable proof evidencing the relationship.
- Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided. Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from the joint account of the minor with parent or legal guardian.
- If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

d. Politically Exposed Person (PEP)^

- ^PEP are defined as individuals who are or have been entrusted with prominent publicfunctions in a foreign country, e.g., Heads of States or of Governments, senior politicans, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.
- Domestic PEPS: Individuals who are or have been entrusted domestically with prominent public functions within India, for example Heads of State or of Governments, senior government, judicial or military officials, senior executives of state-owned corporations.
- Family members are individuals who are related to PEP either directly or through marriage or similar forms of partnership.

e. KYC Requirements and details:

Please furnish PAN & KYC details for each applicant/unit holder, including the Guardian and/or Power Of Attorney (POA) holders as explained in the below points.

- PAN

It is mandatory for all investors (including guardians, joint holders, NRIs and power of attorney holders)to provide their Income Tax Permanent Account Number (PAN) and also submit a photo copy of the PAN card at the time of purchase of Units except for investors who are exempted from PAN requirement, please refer-to KYC Form for exemption of PAN requirement.

- KNOW YOUR CUSTOMER (KYC)
- Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN) in application form as per AMFI circular 135/BP/68/2016-17. To download Common KYC Application Form, please visit our website https://alphagrepmf.ai
  - In accordance with the aforesaid SEBI circulars and AMFI best practice guidelines for implementation of CKYC norms with effect from February 1, 2017: Individual investors who have never done KYC process under KRA regime i.e. a new investor who is new to KRA system and whose KYC is not registered or verified in the KRA system shall be required to provide KYC details in the CKYC Form to the Mutual Fund.
  - Individual investor who fills old KRA KYC Form, should provide additional / missing information using Supplementary KYC Form or CKYC Form.
  - Details of investors shall be uploaded on the system of CKYCR and a 14 digit unique KYC Identifier ('KIN') will be generated for such customer.
  - New investors, who have completed CKYC process & have obtained KIN may quote their KIN in the application form instead of submitting CKYC Form/ Supplementary KYC Form.
  - AMC/ Mutual Fund shall use the KIN of the investor to download the KYC information from CKYCR system and update its records.
  - If the PAN of investor is not updated on CKYCR system, the investor should submit self certified copy of PAN card to the Mutual Fund/ AMC.
  - In accordance with AMFI circular - 35P/MEM-COR/54/2019-20 dated February 28, 2020, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.
  - The CKYC Form and Supplementary KYC Form are available at Investor Service Centre (ISC) of AlphaGrep Mutual Fund on website www.eminencesif.ai. The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s).
  - Micro Investment

    With effect from October 30, 2012, where the aggregate of the lump sum investment, fresh purchase, & additional purchase and Micro SIP installments by an investor in a financial year i.e April to March does not exceed ₹50, 000/- it shall be exempt from the requirement of PAN.

    However, requirements of Know Your Customer (KYC) shall be mandatory. Accordingly, investors seeking the above exemption for PAN still need to submit the KYC Acknowedgement, irrespective of the amount of investment. This exemption will be available only to Micro investment made by the individuals being Indian citizens (including NRIs, Joint holders, minors acting through guardian and sole proprietary firms). PIOS, HUFS, QFIs and other categories of investors will not be eligible for this exemption.

f. Contact Information

- Please furnish the full postal address of the Sole/ First Applicant with PIN/ Postal Code and complete contact details. (P.O. Box address is not sufficient).
- As per SEBI letter SEBI/HO/IMD/DOF4/OW/P/ 2018/0000019378/1 dated July 9, 2018 and AMFI Best Practice Guidelines Circular No. 77/2018-19 the first/sole holder's own email address and mobile number should be provided for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions. Individual investors must declare whether the primary email address and mobile number being provided belongs to Self or a Family member and tick the relevant code in the application form. The email id/ contact details mentioned on the application form should be the same as the ones provided in the KRA. If found different, the details mentioned on KRA records will be updated in the folio. Investor will need to update the email id/mobile number with the KRA in case of any change.
- Please note that all communication i.e. Account statement, Annual Report, News Letters will be sent via e-mail, if the e-mail id of the investor is provided in the application form. The Account statement will be encrypted with a password before sending the same to the registered email id. Should the unitholder face any difficulty in accessing/opening the Account Statements/ documents sent via email, the unitholder may call/write to the AMC/Registrar and ask for a physical copy.
- Overseas address is mandatory for NRI/FII investors.

5. BANK DETAILS

- Please furnish complete Bank Account Details of the Sole/First Applicant. This is a mandatory requirement and applications not carrying bank account details shall be rejected.
- Please provide your complete Core Banking Account Number, (if applicable), in your Bank Mandate in the Application Form. In case you are not aware of the Core Banking Account Number, kindly check the same with your bankers.
- Please attach an original cancelled cheque leaf if your investment instrument is not from the same bank account mentioned in the Application form.

6. INVESTMENT/PAYMENT DETAILS

- Minimum investment threshold for SIF

  The current minimum investment threshold is 10 lakh FH/JH PAN and holding pattern. This is enforced at the PAN level, not at the folio or investment strategy level. If the minimum holdings fall below the threshold, all associated folios for the same PAN and Holding Pattern are blocked for further partial redemption/switch-out for 30 calendar days. If a single top-up of the shortfall amount as on Day 1 of threshold breach is not made within these 30 days, all units across all associated folios for the PAN and Holding Pattern are fully redeemed at the next business day's NAV if the threshold is not restored.
- Plans: The AMC has introduced a separate plan for direct investments (i.e. investments not routed through an AMFI Registration Number(ARN) Holder("Distributor")(hereinafter referred to as "Direct Plan").
- There shall be 2 Plans available for subscription underthe Schemes viz., Regular Plan and Direct Plan.
- Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/ Plan name in the application form as "Scheme Name – Direct Plan" e.g. "Eminence Hybrid Long-Short Fund". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.
- Please note, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
- Please indicate the Plan under which you wish to invest. Also indicate your choice for IDCW payout or re-investment. If any information is left blank, the default option will be available.
- Payment may be made only by Cheque or Electronic Fund Transfer. Cheque should be drawn in favour of the "Scheme name" - e.g. "Eminence Hybrid Long-Short Fund" and crossed "Account Payee only".
- Please refer to Investment Strategy Information Document (ISID), Statement of Additional Information & Key Information Memorandum of the scheme for the Minimum amount criteria of the scheme. Please note that third party payments shall not be accepted.
- Third Party Payment shall mean payment made through an instrument issued from an account other than that of the beneficiary investor. In case of payment instruments issued from a joint bank account, the first named applicant/investor must be one of the joint holders of the bank account from which the payment instrument is issued. 'Related person/s' means such persons as may be specified by the AMC from time to time. Exceptions: MF will accept subscriptions to schemes of Eminence Hybrid Long-Short Fund/SIF accompanied by Third- Party Payment Instruments only in the following exceptional cases:

a. Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/one time subscription, through Payroll deductions or deductions out of expense reimbursements.

b. Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.

c. Payment by an AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual Fund units of the schemes managed by such AMC through SIP or lumpsum/onetime subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

d. Payment by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/onetime subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

e. Payment by registered Stock brokers of recognized stock exchanges for their clients having demat accounts. The investors making an application under the above mentioned exceptional cases are required to comply with the following, without which their applications for subscriptions for units will be rejected / not processed.

- Mandatory KYC compliance of the investor and the person making the payment, in order to determine the identity of the investor and the person issuing the payment instrument.
- Submit a separate, prescribed, 'Third Party Payment Declaration Form 'from the beneficiary applicant/s and the person making the payment i.e., the Third Party, giving details of the bank account from which the payment is made and the relationship of the Third Party with the beneficiary. (The declaration form is available at https://alphagrepmf.ai
- Submit a cancelled cheque leaf or copy of bank statement /pass book mentioning bank account number, account holders' name and address or such other document as the AMC may require for verifying the source of funds to ascertain that funds have been remitted from the drawer's account only.

For identifying Third Party Payments, investors are required to comply with the requirements specified below :

a. Payment by Cheque:

An investor at the time of his/her purchase must provide the details of pay-in bank account (i.e. account from which a subscription payment is made) and pay-out bank account (i.e. account into which redemption are to be paid). Identification of third party cheques by the AMC / Registrars will be on the basis of either matching of pay-in bank account details with registered/pay-out bank account details or by matching the bank account number/name/ signature of the first named investor with the name/account number/signature available on the cheque. If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/investor should submit any one of the following documents:

- a copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number
- a letter* (in original) from the bank on the bank's letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). In respect of (ii) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number. Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

b. Payment by Prefunded Instrument:

- If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker 's cheque, etc., a certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The account number mentioned in the Certificate should be a registered bank account or the first named unitholder should be one of the account holders to the bank account debited for issue of such instruments.
- A pre-funded instrument issued against cash shall not be accepted, except in case of payment made by Parents/Grandparents/related persons on behalf of a minor in consider should be accompanied by a certificate from the banker giving name, address and PAN of the person who has procured the payment instrument. The Certificate(s) mentioned in (i) and (ii) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

c. Payment by RTGS, NEFT, ECS, Bank transfer, etc:

A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer Instruction copy should be a registered bank account or the first named unitholder should be one of the account holders to the bank account. The above broadly covers the various modes of payment for SIF subscriptions. The above list is only indicative not exhaustive list and any other mode of payment as introduced from time to time will also be covered accordingly. In case the application for subscription does not comply with the above provisions, the AMC / Registrars retains the Sole and absolute discretion to reject/not process such application and refund the subscription money and shall not be liable for any such rejection. If the scheme name on the acceptance form and on the payment instrument are different, the applications will be processed and units allotted at applivable NAV of the scheme mentioned in the application/transaction slip, duly signed by investor(s).

d. Lumpsum Investment

If you are from a city where there is no designated Investor Service Centre of SIF/RTA you may make a payment by a Demand Draft for the investment amount. Please enter the cheque or DD amount, DD Charges (if applicable) and the investment amount.

NRI investors

NRI Investors and FPIs- NRIs and PIOs may purchase units of the scheme(s) on a repatriation and non-repatriation basis, while FPIs (erstwhile known as FIIs) may purchase units only on a repatriation basis and subject to applicable laws. They shall attach a copy of the cheque used for payment or a Foreign Inward Remittance Certificate (FIRC) or an Account Debit Certificate from the bankers along with the application form to enable the AMC to ascertain the repatriation status of the amount invested. The account type shall be clearly ticked as NRE or NRO or FCNR, to enable the AMC determine the repatriation status of the investment amount. The AMC and the Registrar may rely on the repatriation status of the investment purely based on the details provided in the application form.

Repatriation basis

NRIs and PIOs may pay their subscription amounts by way of Demand draft, cheques drawn on Non-Resident External (NRE) Accounts or Indian Rupee drafts payable at par at any of the centres where the AMC has a designated ISC and purchased out of funds held in NRE Accounts / FCNR (B) Accounts. FPIs may pay their subscription amounts either by way of inward remittance through normal banking channels or out of funds held in NRE Accounts / FCNR (B) maintained in accordance with Foreign Exchange Manage-

Non-Repatriation basis

Non-Repatriation basis - NRIs and PIOs may pay their subscription amounts by way of inward remittance through normal banking channels or out of funds held in NRE/FCNR (B)/ NRO account maintained in accordance with Foreign Exchange Management (Deposit) Regulations, 2016.

e. Systematic Investment Plan (SIP)

Please read Investment Strategy Information Document (ISID), Statement of Additional Information and Key Information Memorandum of the respective scheme for the applicability/ availability of Special Features in the respective scheme.

- Incase the investor opts for Normal SIPs the payment details of first installment needs to be provided.
- If you wish to register SIP, kindly fill the relevant SIP Registration & OTM Debit Mandate Form.

7. UNIT HOLDING OPTION (Demat / Non - Demat Mode)

- Investors can hold units in demat / non-demat mode. In case demat account details are not provided or details of DP ID / BO ID, provided are incorrect or demat account is not activated or not in active status, the units would be allotted in non-demat mode.
- Statement of Accounts would be sent to Investors who are allotted units in non-demat mode.
- Units held in dematerialized form are freely transferable with effect from October 01, 2011, except units held in Equity Link Savings Scheme during the lock-in period.

8. FOREIGN ACCOUNT TAX COMPLIANCE (FATCA) FATCA & CRS TERMS & CONDITIONS:

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with AlphaGrep Mutual Fund or its group entities.

Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information FATCA & CRS INSTRUCTIONS: If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. SEBI issued its circular no. CIR/MIRSD/2/2015 dated August 26, 2015 inter alia advising intermediaries to take necessary steps to ensure compliance with the requirements specified in the rules and guidelines specified by the Government of India. AMFI also issued its best practices guidelines circular no. 135/BP/63/2015-16 dated September 18, 2015 on this matter. The AMC and the Mutual Fund are required to adhere to various requirements inter alia including submission of various information / details relating to the investors in the schemes of the mutual fund, to authorities, as specified under the applicable laws. Accordingly, the following aspects need to be adhered to :

All investors will have to mandatorily provide the information and declarations pertaining to FATCA/CRS for all new accounts opened, failing which the application / transaction request shall be liable to be rejected.Investors are requested to provide all the necessary information / declarations to facilitate compliance, considering India's commitment to implement CRS and FATCA under the relevant international treaties. Please consult your professional tax advisor for further guidance on your tax residency, if required. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS Indicia
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship; AND 3. Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence
Telephone number in a country other than India	If no Indian telephone number is provided 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence If Indian telephone number is provided along with a foreign country telephone number 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence
Telephone number in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence

9. NOMINATION DETAILS

1. *Joint Accounts:

Event	Transmission of Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

1. Nomination shall be mandatory for single holding only. The requirement of nomination shall be optional for jointly held folios.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. The signatories for this nomination form in joint folios shall be the same as that of your joint folio i.e.

a. 'Either or Survivor' Folios - any one of the holder can sign.

b. 'Jointly' Folios - both holders have to sign.

5. A minor may be nominated. In that event, the Date of Birth (DoB) needs to be provided. It is optional to provide the name and PAN of the Guardian.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. You can make nomination or change nominee any number of times without any restriction.
10. You are entitled to receive an acknowledgement from the AMC for each instance of providing or changing nomination.
11. Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single folio.
12. In case all your nominees do not claim the assets from the AMC, then the residual unclaimed asset shall continue to be with the AMC in case of MF units.
13. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If percentage is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/fraction of %, shall be transferred to the first nominee mentioned in the Nomination form. (See table in 'Transmission aspects'-point number 22).
14. Every new nomination for a folio shall overwrite the existing nomination, if any.
15. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio.
16. Nomination shall stand rescinded upon the transfer of units.
17. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
18. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees/SIF against the legal heir(s).
19. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
20. In respect of folios where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
21. The mode of operation (of the joint account), namely that of the first named holder OR anyone or survivor OR either or survivor basis OR joint, etc. shall be un affected by the rule of survivorship.
22. Transmission Aspects :

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nomimee is not required to provide affidavits, indemnitites, undertakings, attestations or notarization.
- In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), emai address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below:

% share as specified by investor at the time of nomination			% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'		
Nominee	**% share**	**Nominee**	**% initial share**	**% of A's share to be apportioned**	**Total % share**
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**	**-**	**40%**	**60%**	**100%**

10. DECLARATION AND SIGNATURES

- Please tick the box provided for EUIN declaration in this section in case the ARN is mentioned in the distributor section and the EUIN is left blank.
- All signatures should be hand written in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in both cases must be attested by a Judicial Magistrate or a Notary Public.
- If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder
- In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.
- In case of application under POA or by a Non- Individual (i.e. Company, trust, society, partnership firm etc.)the relevant POA or the resolution should specifically provide for/ authorize the POA holder/ authorized signatory to make application/invest money on behalf of the investor.

11. GO GREEN INITIATIVE IN MUTUAL FUNDS

- With respect to the recent directives issued by SEBI via Gazette Notification SEBI/ LAD-NRO/ GN/2018/14 & Circular SEBI / HO / IMD / DF2 / CIR / P/2018/92 regarding Go Green Initiative in Mutual Funds regarding disclosing and providing information to investors through digital platform as a green initiative measure.
- In line with above initiative, AlphaGrep Mutual Fund has adopted `Go Green Initiative for Mutual Funds' and accordingly, the scheme Annual Reports /Abridged Summary will be hosted on our website https://alphagrepmf.ai in downloadable format. Further, wherever email ids are registered in our records, the scheme Annual Reports / Abridged Summary will be sent via email.
- If you do not opt-in to receive a physical copy of the scheme Annual Report/Abridged Summary, you can view the same on our website or alternatively contact our registered office to get a physical copy of the Annual Report/Abridged Summary.

SIP REGISTRATION & OTM DEBIT MANDATE FORM

Eminence SIF
Offered By AlphaGrep Mutual Fund

Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp & Reference No.

☐ **By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser / Portfolio Manager the details of my/our transactions in the scheme(s) of EMINENCE HYBRID LONG - SHORT FUND offered by AlphaGrep Mutual Fund. (Please ✓ if applicable) *In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf.

Please Note: All field marked with asterisk (*) to be mandatorily filled.

1. UNIT HOLDER INFORMATION

Existing Folio Number | Existing UMRN

Name of Sole / 1st Applicant (Name as per IT Records) Mr. / Ms. / M/s. First Middle Last

PAN | Accredited investor: ☐ Yes ☐ No

2. SIP INVESTMENT & PAYMENT DETAILS

Please read the instructions before filling up this section

Scheme - EMINENCE HYBRID LONG - SHORT FUND

Please tick (✓) ☐ Regular Plan ☐ Direct Plan

☐ Growth (Default) ☐ IDCW Reinvestment (Default for IDCW)

☐ IDCW Payout

#SIP Frequency ☐ Weekly Any Day from Monday to Friday ☐ Fortnightly 1st & 16th of each month ☐ Monthly ☐ Quarterly

SIP Date* D D | SIP Start M M Y Y Y Y | SIP End M M Y Y Y Y | (End date cannot exceed 40 years) (#Default Weekly SIP - Monday Monthly SIP - 10th Fortnightly SIP - 1st and 16th Quarterly SIP - 10th)

SIP Amount (₹ in figures) | (₹ in words) In words

■ SIP Top Up Facility ((Optional) (✓to avail facility)

☐ Fixed# OR ☐ Variable$ (Please fill the applicable section below) SIP Top Up Frequency

*In case of Quarterly SIP, only Yearly frequency is available under SIP Top Up Facility.

Fixed Top Up Amount # : ₹ | OR $Variable Top Up Percentage: ☐ 5% ☐ 10% ☐ 15% ☐ 20% ☐ Others (Multiple of 5% only)

The Fixed TOP UP amount shall be for minimum Rs. 1,000/-.

☐ SIP Top Up Cap Amount: ₹ | OR ☐ SIP Top Up Cap Month M M Y Y Y Y

(*Investor has to choose only one option either CAP amount or CAP month - year, In case of multiple selection, Top Up Cap amount will be considered as a default selection)

■ First Installment Details

First SIP Transaction via Cheque No. | Cheque Dated D D M M Y Y Y Y | Amount (₹) In Figures

Mandatory Enclosure (if 1st Installment is not by cheque) ☐ Blank cancelled cheque ☐ Copy of cheque

The name of the first/ sole applicant must be pre-printed on the cheque.

3. DECLARATION(S) & SIGNATURE(S) should be as it appears in the Folio / on the Application Form and in the same order. In case the mode of holding is joint, all Unit holders are required to sign.

I/We hereby authorise Eminence SIF – offered by AlphaGrep Mutual Fund and their authorised service provider to debit the above bank account by NACH/ Auto Debit Clearing for collection of SIP payments. I/We understand that the information provided by me/us may be shared with third parties for facilitating transaction processing through NACH/ Auto Debit Clearing or for compliance with any legal or regulatory requirements. I/We hereby declare that the particulars given above are correct and complete and express my/our willingness to make payments referred above through participation in NACH/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We will not hold Eminence SIF – offered by AlphaGrep Mutual Fund or their appointed service providers or representatives responsible. I/We will also inform, about any changes in my bank account immediately. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have read and agreed to the terms and conditions mentioned overleaf. The ARN holder has disclosed to me/us all he commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various SIF Investment Strategies from amongst which the Scheme is being recommended to me/us.

"I/We acknowledge that the RIA has entered into an agreement with the AMC / MF for accepting transaction feeds under the code. I/We hereby indemnify, defend and hold harmless the AMC / MF against any regulatory action, damage or liability that they may suffer, incur or become subject to in connection therewith or arising from sharing, disclosing and transferring of the aforesaid information."

Sign of 1st Applicant / Authorised Signatory / POA | Sign of 2nd Applicant / Authorised Signatory / POA | Sign of 3rd A pplicant / Authorised Signatory / POA

Eminence SIF
Offered By AlphaGrep Mutual Fund

ONE TIME BANK MANDATE

(NACH/OTM/Direct Debit Mandate Form)

UMRN FOR OFFICE USE ONLY | Date D D M M Y Y Y Y

Utility Code FOR OFFICE USE ONLY | ☑ CREATE ☒ MODIFY ☒ CANCEL

Sponsor Bank Code FOR OFFICE USE ONLY | I/We authorise EMINENCE HYBRID LONG - SHORT FUND

To Debit (tick ✓) SB / CA / CC / SB-NRE / SB-NRO / OTHER | Bank A/c number

With Bank Name of Customer's Bank | IFSC / MICR

An Amount Of Rupees Amount in words | ₹ Amount in figures

DEBIT TYPE ☒ Fixed Amount ☑ Maximum Amount | FREQUENCY ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ☑ As & when presented

Reference 1 Folio/ PAN | Reference 2

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per the latest schedule of the charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit the account, based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit. 4. I/We understand and accept that in case transaction/s initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instruments Act).

Maximum period of validity of this mandate is 40 years only.

From D D M M Y Y Y Y | Signature of Primary Account Holder | Signature of Joint Account Holder | Signature of Joint Account Holder

To D D M M Y Y Y Y | 1. Name of Primary Account Holder | 2. Name of Joint Account Holder | 3. Name of Joint Account Holder

Instructions for Systematic Investment Plan (SIP)

Please read Investment Strategy Information Document (ISID) along with Statement of Additional Information and addendum issued from time to time before filling this form.

SIP is subject to minimum investment of Rs 10,00,000 across SIF investment strategies of Eminence SIF.

Minimum (including switch-in) of Rs. 10,00,000/- and in multiples of Re. 1/- thereafter.

SIP: Rs. 1,000 and in multiples of Re. 1/- thereafter.

Following are the frequencies and minimum amount of SIP -

- Weekly, Fortnightly, Monthly, Quarterly.
- Minimum number of instalments for each frequency is 6(Six)

Investors can enroll themselves for SIP in the Scheme by ticking appropriate box on the application form.

Investors can subscribe to SIP by using OTM. The cheques should be in favour of the scheme and crossed "Account Payee Only", and the cheques must be payable at the centre where the applications are submitted to the Investor Service Centre.

In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs from the name on the Cheque, then the AMC will allot units under the Scheme mentioned on the application form/transaction slip.

Further, investors/unitholders subscribing through SIP are required to submit the SIP application form at least 21 calendar days prior to the first SIP date. In case of NFO SIP, the first SIP instalment shall be processed only after 21 calendar days from the NFO allotment date.

In case existing mandates are successfully registered, a new SIP registration will take upto five business days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s).

A fresh Account Statement / Transaction Confirmation will be mailed to the Unitholder, indicating the new balance to his/her credit in the Account. An investor will have the right to discontinue the SIP, subject to giving 2 calendar days prior notice in writing or by filling SIP cancellation form to the subsequent SIP date.

New: Please fill NFO Application Form along with SIP Registration & OTM Debit Mandate Form. If the investor fails to mention the scheme name in the SIP Registration Form, then the Fund reserves the right to register the SIP as per the scheme name available in the NFO application. In case of any ambiguity in the form, Fund reserves the right to reject the SIP request.

Existing: Please fill SIP Registration & OTM Debit Mandate Form and mention the existing folio number. If the investor fails to mention the scheme name in the SIP Registration Form, then the Fund reserves the right to register the SIP in the existing scheme (Eligible for SIP) available in the Folio. In case Multiple Schemes are available in the folio then Fund reserves the right to reject the SIP request.

- The SIP registration will be discontinued or considered as closed/ cancelled by the AMC upon 3 failed debit attempts under Weekly, Fortnightly and monthly frequencies and 2 failed debit attempts under Quarterly Frequencies.
- The AMC will endeavour to have the cancellation of registered SIP mandate within 02 Business days from the date of receipt of the cancellation request from the investor. The existing instructions / mandate would continue till the date that when it is confirmed the SIP has been cancelled.
- In case of SIP investments, where the entire instalment amount is not available in the bank account, the SIP for that month would be rejected. Allocation to a particular Scheme or pro–rata allocation to Schemes will not be carried out. For e.g. the investor has SIPs in Scheme A and Scheme B of the AMC for amounts of Rs. 2000 each. If the investor has less than Rs. 4000 in the bank account on the day of SIP, no order will be placed.
- As per NPCI Circular NPCI/NACH/OC No.012/2023-24, mandate can be registered for a maximum duration of 40 years. An investor has to mandatorily enter the 'End Date' of the mandate by filling the date for a maximum period of 40 years from the start date or less
- If the OTM end date is more than 40 years, then the OTM Mandate will be rejected.

Default Dates:

Please read Investment Strategy Information Document (ISID) along with Statement of Additional Information and addendum issued from time to time before filling this form. The Unit holders of the Scheme can benefit by investing specific amounts periodically, for a continuous period.

- For Weekly SIP, Every Monday will be the default day and in case of Fortnightly SIP 1st and 16th will be the default option.
- The SIP transaction (in weekly / fortnightly) will happen only on business day. For e.g. if the default day falls on a non-working day, the transaction will take place on the next working day only.
- If the investor has not mentioned the SIP start Month, SIP will start from the next applicable month, subject to completion of 21 Calendar days lead time from the receipt of SIP request.
- In case the SIP 'End period' is incorrect or not mentioned by the investor in the SIP form, then the default end period would be 40 years from the start date until further instructions are received from investor.

SIP Top Up Facility:

SIP Top – up Investors can opt for SIP Top-Up facility with Fixed Top - up option or Variable Top -up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both options, the Variable Top -up option shall be triggered. The fixed Top -up amount shall be in multiples of Rs 500/- Variable Topup would be available at 5%, 10%, 15% and 20% and such other denominations (over and above 10%, 15% and 20%) as opted by the investor in multiples of 5% If the investor does not choose any option then the default option for SIP Top-Up facility would be Variable Top -up option.In case of Quarterly SIP, only the Yearly frequency is available under SIP Top-up. SIP Top-Up facility shall also be available only for the existing investors who have already registered for SIP facility without Top-up option.

SIP Top-up Cap Amount: Investor has an option to freeze the SIP Top-up amount once it reaches a fixed predefined amount. The fixed pre-defined amount should be lower than or equal to the maximum amount mentioned by the investor in the bank mandate. In case of difference between the Cap amount and the maximum amount mentioned in the Bank mandate, then the amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount.

SIP Top-up Cap Month –Year: It is the date from which SIP Top-up amount will cease and last SIP instalment including Top-up amount will remain constant from Cap date till the end of SIP tenure. Investor shall have flexibility to choose either Top-up Cap amount or Top-up Cap month - year. In case of multiple selection, Top-up Cap amount will be considered as a default selection. Top-up Cap is applicable for Fixed Top-up option as well as Variable Top-up option.

DETAILS OF ULTIMATE BENEFICIAL OWNER INCLUDING ADDITIONAL FATCA & CRS INFORMATION

Eminence SIF
Offered By AlphaGrep Mutual Fund

(Only for Non Individuals)

Please Note: All field marked with asterisk (*) to be mandatorily filled.

Please tick the applicable tax resident declaration:

Name of the entity

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"

PAN Date of Incorporation D D / M M / Y Y Y Y

City of Incorporation Country of Incorporation

Entity Constitution Type (Please✓ as appropriate)

☐ Partnership Firm ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP / BOI ☐ Trust ☐ Liquidator ☐ HUF
☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others (Please Specify)

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number%	Identification Type (TIN or Other, please specify)

%In case Tax Identification Number is not available, kindly provide its functional equivalent.
In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

☐ ADDITIONAL KYC INFORMATION

Gross Annual Income (₹)

☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5-10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ 1 Crore

OR

Net - worth* (for Non-Individual) ₹ as on D D / M M / Y Y Y Y (Not older than 1 year)

*Politically Exposed Person (PEP) Status#(Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ PEP ☐ Related to PEP ☐ Not Applicable

Is the entity involved in any of the mentioned services: (Please tick as appropriate)

☐ Foreign exchange/ Money changer ☐ Gaming/ Gambling/ Lottery (Casinos, betting syndicates)
☐ Money lending/ Pawning ☐ Not applicable

#PEP are defined as individuals who are or have been entrusted with promintent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

☐ FATCA & CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a, Financial institution[5] ☐ **OR** Direct reporting NFE[6] ☐	GIIN Note: If you do not have a GIIN but you are sponsored by another entity , please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity
GIIN not available (please tick as applicable) If the entity is a financial institution,	☐ Applied for ☐ Not required to apply for - please specify 2 digits sub-category[7] ☐ Not obtained - Non-participating FI

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1	Is the Entity a publicly traded company[1] (that is, a company whose shares are regularly traded on an established securities market) ☐ No	Yes ☐ (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange
2	Is the Entity a related entity[2] of a publicly traded company (a company whose shares are regularly traded on an established securities market) ☐ No	Yes ☐ Security ISIN Name of listed company Nature of relation: ☐ Subsidiary of the Listed Company **or** ☐ Controlled by a Listed Company Nature of stock exchange
3	Is the Entity an active[3] NFE ☐ No	Yes ☐ Nature of Business Please specify the sub-category of Active NFE (Mention code-refer 2c of Part C)
4	Is the Entity a passive[4] NFE ☐ No	Yes ☐ Nature of Business

☐ UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Catagory (Please tick application category) ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company ☐ Unincorporated association / body of individuals ☐ Private Trust ☐ Public Charitable Trust ☐ Religious Trust ☐ Others

UBO / Controlling Person(s) details: (Please refer instructions no. 3.iv)

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? ☐ **Yes** ☐ **No**

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO#			
UBO / SMO PAN # For Foreign National, TIN to be provided]			
% of beneficial interest # Please tick (✓)	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐
UBO / SMO Country of Tax Residency #			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number #			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth #	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP # Please tick (✓)	Yes – PEP ☐ Yes – Related to PEP ☐ N – Not a PEP ☐		
UBO / SMO Address [include City, Pincode, State, Country]	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UBO / SMO Address Type Please tick (✓)	Residence ☐ Business ☐ Registered Office. ☐		
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender Please tick (✓)	Male ☐ Female ☐ Others ☐		
UBO / SMO Father's Name			

UBO / SMO Occupation **Please tick (✓)**	**Public Service** ☐ **Private Service** ☐ **Business** ☐ **Others** ☐		
SMO Designation #			
UBO / SMO KYC Validated? **Please tick (✓)**	☐ **Yes /** ☐ **No.** **If 'Yes,' please attach the KYC acknowledgement.** **If 'No,' complete the KYC and confirm the status.**	☐ **Yes /** ☐ **No.** **If 'Yes,' please attach the KYC acknowledgement.** **If 'No,' complete the KYC and confirm the status.**	☐ **Yes /** ☐ **No.** **If 'Yes,' please attach the KYC acknowledgement.** **If 'No,' complete the KYC and confirm the status.**

Mandatory column.
Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.
* Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
Please note that you may receive more than one request for information if you have multiple relationships with AlphaGrep Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the USTax Identification Number.
$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and AlphaGrep Investment Management Private Limited and the RTA can make reliance on the same. I/We hereby authorize AlphaGrep Investment Management Private Limited and its RTA to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to AlphaGrep Mutual Fund, its Sponsor, Asset Management Company and trustees. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep AlphaGrep Investment Management Private Limited or its RTA informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required by AlphaGrep Investment Management Private Limited, the RTA or by domestic or overseas regulators/ tax authorities.

Name

Designation

Designation	Designation	Designation

Date D D / M M / Y Y Y Y

Place

PART C FATCA Instructions & Definitions

1. Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of

  (i) The three financial years preceding the year in which determination is made; or

  (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer

  (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or

  (ii) Individual and collective portfolio management, or

  (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

  or
- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

  An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

  (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made;

  or

  (ii) The period during which the entity has been in existence.

  The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06-refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

- **FI not required to apply for GIIN:**

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers& Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE)-Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets

(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market

C. Active NFE: (is any one of the following):

Code Sub-category

01 Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;

02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;

03 Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

04 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;

05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;

06 The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;

07 Any NFE that fulfills all of the following requirements:

- It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
- It is exempt from income tax in India;
- It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:

(I) an Investor Protection Fund referred to in clause (23EA);

(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and

(III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

(i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or

(ii) an investment entity defined in clause (b) of these instructions

(ii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of:

(1) Dividends,

(2) Interest

(3) Income equivalent to interest,

(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE

(5) Annuities

(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,

(8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership.
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

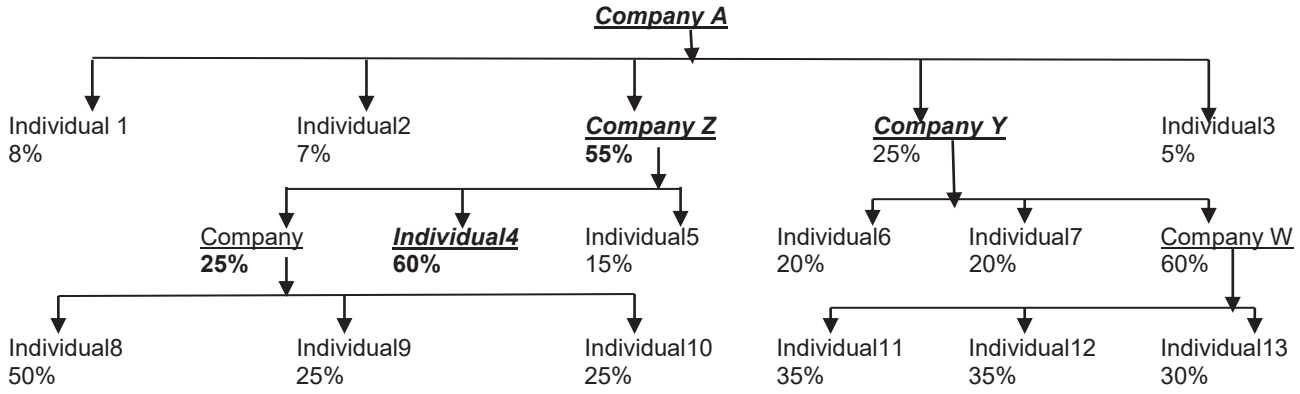
The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide - SEBI circular SEBI/HO/AFD-2/CIR/P/2022/175 dated December 19, 2022 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

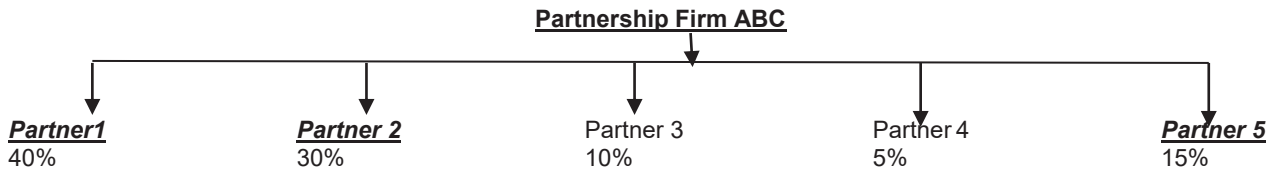
Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



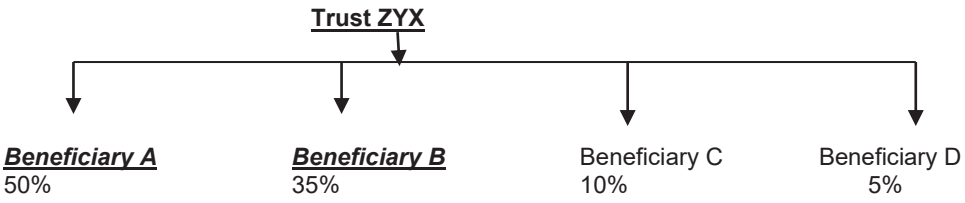
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds >10% of capital. KYC proof of these partners needs to be submitted including shareholding.

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >=10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

ASBA APPLICATION FORM

Eminence SIF
Offered By AlphaGrep Mutual Fund

EMINENCE HYBRID LONG - SHORT FUND - APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA)
Offer for Units of Rs. 10/- each for cash during the New Fund Offer and Continuous Offer for Units at NAV based prices.
NFO Opens on: 14th October 2026 | NFO Closes on: 28th October 2026 | Investment Strategy re-opens on or before: 12th November 2026

BROKER/AGENT INFORMATION FOR OFFICE USE ONLY

Broker Stamp & ARN Code	Broker Stamp & ARN Code	Employee Unique ID No.	SCSB	SCSB IFSC Code	Syndicate Member Stamp & Code	SCSB Branch Sr. No.

☐ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors.

Sole / First Applicant's Signature Mandatory

DECLARATION

Having read and understood the contents of the Investment Strategy Information Document (ISID) and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of Eminence SIF offered by AlphaGrep Mutual Fund for Units of the Scheme mentioned below and agree to abide by the terms and conditions, rules and regulations of the Scheme.I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode.) payable to him for the different competing Schemes of various Investment Strategy from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from fund in my / our Non-Resident External / Ordinary Account/FCNR Accounts(s).

APPLICANT'S DETAILS

Name of First Applicant (a s available in Demat Account)

Name of Second Applicant (a s available in Demat Account)

Name of Third Applicant (as available in Demat Account)

Mode of Holding: ☐ Single ☐ Joint (default) ☐ Either or Survivor

Folio No.

DEPOSITORY ACCOUNT DETAILS: [mandatory] [Refer Instruction k]

Depository Name [Please ✓ tick] ☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited

Depository Participant Name

DP-ID

Beneficiary Account Number

INVESTMENT DETAILS [Default plan/option/sub option will be applied in case of no information, ambiguity or discrepancy in scheme details]

Scheme - EMINENCE HYBRID LONG - SHORT FUND

Please tick (✓) ☐ Regular Plan ☐ Direct Plan

☐ Growth (Default)
☐ IDCW Payout
☐ IDCW Reinvestment (Default for IDCW)

BANK ACCOUNT FOR BLOCKING OF FUNDS [Refer Instruction d] [Bank Account should be in the name of First Applicant only]

Bank Account Number

Bank Name & Branch Address

Total Amount to be blocked (₹)

UNDERTAKING BY ASBA INVESTOR

1)I/We hereby under take that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements.) Regulation 2009 ('SEBI Regulation') as amended from time to time. (2) In accordance with ASBA process Provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB/ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Investment Strategy on receipt of instructions from its Registrar and Transfer Agent after the allotment of the Units entiting me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instruction to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account/ Bank account of Investment strategy. (3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. (4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records. the application shall be rejected and Investment strategy or AlphaGrep Investment Management Private Limited or SCSB shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/ AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided]. Amount applied for and the account number from where NFO amount was blocked.

CONTACT DETAILS OF 1ST APPLICANT

Tel. No. (with STD code)

Mobile

Email ID

PAN SIGNATURE	Sole / First Applicant / Guardian	Second Applicant	Third Applicant
	PAN MANDATORY	PAN MANDATORY	PAN MANDATORY

ACKNOWLEDGMENT FOR SCSB

Plan/Option ASBA Application No.:_____ Date

Received from 1st Applicant PAN

Depository Name [Please ✓ tick] ☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited

Depository Participant Name

DP-ID

Beneficiary Account Number

SCSB ACCOUNT DETAILS: Bank A/c No. Bank Name & Branch _____

ACKNOWLEDGMENT FOR INVESTOR

Plan/Option ASBA Application No.:_____ Date

Received from Mr./Ms./Mrs./M/s.:	SCSB Account details	Total Amount to be Blocked	SCSB Stamp, Signature
	A/c No.	Rs. in figures	Date & time of receipt
Address:	Bank Name	Rs. in words	
	Branch Name		

ASBA Forms should be submitted only with an authorized branch of designated SCSBs.

Not to be submitted at any offices of Eminence SIF offered by AlphaGrep Mutual Fund or its Registrar, Computer Age Management Services (CAMS).

Emminence SIF Application Supported by Blocked Amount (ASBA)

ASBA provides an alternative mode of payment whereby the application money remains in the investor's account till allotment of units. ASBA process facilitate investors to apply through Self Certified Syndicate Banks (SCSBs), in which the investors have their bank accounts. SCSBs are those banks which satisfy the conditions laid by SEBI. SCSBs would accept the applications, verify the application, block the fund to the extent of investment amount, unblock once the allotment is finalized and debit the investor's account.

Instructions and Terms and Conditions

Please read Investment Strategy Information Document (ISID), Statement of Additional Information (SAI), Key Information Memorandum (KIM), all relevant Addenda available with Investment Strategy offices and instructions carefully before filling up the application form. Investors are deemed to have read, understood and accepted the terms subject to which these offers are being made and bind themselves to the terms upon signing the application form.

1. This application form may be used by both resident and non-resident investors. For more details, please read the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Notice and addenda issued in this reference.
2. ASBA facility is currently available only to those investors who wish to hold the units in dematerialized form.
3. An ASBA investor shall submit a duly filled up ASBA Application form, physically or electronically to the SCSB with whom the investors holds the bank account which is to be blocked.
    i. In case of ASBA application in physical mode, the investor shall submit the ASBA form at the bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
    ii. In case of ASBA application in electronic form, the investor shall submit the ASBA form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of SIF Schemes authorising to block the subscription money in a bank account.
4. The Bank Account Number in the form should necessarily be of the first applicant only. In case where the bank account is jointly held, the first applicant should be one of the joint holders.
5. ASBA application form not be accepted by any of the offices of Eminence SIF offered by AlphaGrep Mutual Fund or its Registrar & Transfer Agent, i. e Computer Age Management Services (CAMS).
6. Investors shall ensure that the bank account details mentioned in the ASBA application form is correct and the funds are available in the account for the SCSB to block the amount.
7. Upon submission of an ASBA form with the SCSB, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the designated branch to block such amount in the Bank account.
8. The SCSBs shall block the subscription money based on the authorization given by the account holder in the ASBA application form. The subscription money shall remain blocked in the Bank account till allotment of units under the scheme or till rejection of the application, as the case may be.
9. The SCSBs may at any time before the closure of the NFO reject the ASBA application and inform the investor accordingly.
10. During processing of the application by the RTA, if the applications is found to be incomplete or incorrect, the SCSB will be informed on the same who will then unblock the investor account with appropriate remarks in the investor account.
11. The names of the applicants, the manner of holding, the mode of holding in the application form should be exactly matching with the information available in the demat account. In case of any mismatch, incorrect or incomplete information, the application may be rejected by the SCSB or the Registrar & Transfer Agent.
12. All investor related details for allotment of units such as names of the applicants, manner of holding, mode of holding, bank account, etc will be updated as per the demat account.
13. The investors should check their demat account for allotment of units within 5 working days of the NFO closure. No physical account statement will be sent to the investors by Eminence SIF offered by AlphaGrep Mutual Fund or its registrar, Computer Age Management Services (CAMS).
14. All grievances relating to the ASBA facility may be addressed to the AMC / RTA to the issue, with a copy to the SCSB, giving full details such as name, address of the applicants, subscription amount blocked on application, bank account number and the designated branch or the collection centre of the SCSB where the ASBA from was submitted by the investor.
15. On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before 3.00 p.m. or such other time as may be decided by respective SCSBs.
16. Eminence SIF offered by AlphaGrep Mutual Fund or its Registrar, Computer Age ManagementServices (CAMS). shall not be liable for any negligence or mistake committedby the SCSBs.
17. Further, Investors may please note that the Fund/ AMC and its empanelled brokers has not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/ portfolio with regard to the scheme.
18. Note on EUIN: Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.
19. Grounds for rejection of ASBA applications ASBA application forms can be rejected by the AMC/Registrar/SCSBs, on the following technical grounds:
    i. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
    ii. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
    iii. ASBA Application Form without the stamp of the SCSB.
    iv. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
    v. Bank account details not given/incorrect details given.
    vi. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA application form.
    vii. No corresponding records available with the Depositories matching the parameters namely (a)

    Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c)

    Beneficiary account number or any other relevant details pertaining to the Depository Account.
    viii. Insufficient fund in the invester's account.
    ix. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.